JAMES E. RAUCH

Curriculum Vitae

CONTACT: ADDRESS:

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La Jolla, CA 92093-0508

UNDERGRADUATE STUDIES: Princeton University. BA, May 1980

GRADUATE STUDIES: Yale University. MA (Economics), May 1982; Ph.D. (Economics),

December 1985

Thesis Title: The Dynamic Interaction of Foreign Trade, Technology, and Capital with

Economic Development: Three Essays

Thesis Committee: T.N. Srinivasan (Chairman), Jonathan Eaton, Kenneth Kletzer

TEACHING AND RESEARCH:

Primary Fields: International Trade, Economic Growth and Development,

Entrepreneurship

Secondary Fields: Urban Economics, Labor

FELLOWSHIPS AND HONORS:

 $Keynote\ Speaker,\ 22^{nd}\ Annual\ Empirical\ Investigations\ in\ International\ Trade,\ Purdue$

University, 2015

Keynote Speaker, APJAE Symposium on Industrial Organization and Global Value

Chains, City University of Hong Kong, 2013

Guggenheim Fellow, 2012

Fellow, CESifo Research Network, 2010 –

Visiting Scholar, CESifo, September 2010

Speaker, the Nottingham Lectures in International Economics, February 2010

Visiting Scholar, International Monetary Fund, April 2008

- National Science Foundation Economics Review Panelist, 2006-2008
- Visiting Scholar, Institute of Financial Economics, American University of Beirut, January-June 2005
- Visiting Independent Scholar, Russell Sage Foundation, New York, NY, 1995-1996
- Visiting Scholar, Board of Governors, Federal Reserve System, Washington, D.C., June 1994
- Four year tuition fellowship plus maximum stipend, Yale University, September 1980-May 1984
- Distinction in oral examination, Yale University, May 1982
- Graduated Summa Cum Laude, first runner-up prize for best undergraduate thesis, Princeton University, May 1980

BOOKS:

- The Economics of the Middle East: A Comparative Approach, New York: Oxford University Press, 2019.
- The Missing Links: Formation and Decay of Economic Networks (editor), New York: Russell Sage Foundation, 2007.
- Leading Issues in Economic Development, 8th edition (with Gerald M. Meier), New York: Oxford University Press, 2005.
- Leading Issues in Economic Development, 7th edition (with Gerald M. Meier), New York: Oxford University Press, 2000.
- Networks and Markets (editor, with Alessandra Casella), New York: Russell Sage Foundation, 2001. [See Zuckerman, Ezra W. "On Networks and Markets by Rauch and Casella, eds." Journal of Economic Literature 41 (June 2003): 545-565.]

SCHOLARLY PUBLISHED PAPERS:

- "Visualizing the Contraction Mapping Theorem" (with Alexis Akira Toda), *Qeios* JSO1M3, published online February 9, 2024.
- "Unemployment and Development" (with Ying Feng and David Lagakos), *Economic Journal* 134 (February 2024): 614-647.

- "History Dependence, Cohort Attachment, and Job Referrals in Networks of Close Relationships" (with Ayal Chen-Zion), *Journal of Economic Behavior and Organization* 170 (February 2020): 75-95.
- "Do Employee Spinoffs Learn Markets from Their Parents? Evidence from International Trade" (with Marc Muendler), *European Economic Review* 105 (June 2018): 159-173.
- "Dynastic Entrepreneurship, Entry, and Non-Compete Enforcement," *European Economic Review* 86 (July 2016): 188-201.
- "Client-Based Entrepreneurship" (with Joel Watson), *Journal of Law, Economics, and Organization* 31 (March 2015): 30-60.
- "Education, Political Participation, and Islamist Parties: The Case of Jordan's Islamic Action Front" (with Hamed El-Said), *Middle East Journal* 69 (Winter 2015): 51-73.
- "The Three Arab Worlds on the Eve of the 'Arab Spring'" (with Scott Kostyshak), in M. Kabir Hassan and Mervyn Lewis, eds., *Handbook on Islam and Economic Life* (Cheltenham, UK: Edward Elgar), 2014.
- "Introduction," *Asia-Pacific Journal of Accounting and Economics* 21 (March 2014): 1-3 (Special Issue on Industrial Organization and Global Value Chains).
- "Employee Spinouts, Social Networks, and Family Firms," *Asia-Pacific Journal of Accounting and Economics* 21 (March 2014): 4-17.
- "Employee Spinoffs and Other Entrants: Stylized Facts from Brazil" (with Marc Muendler and Oana Tocoian), *International Journal of Industrial Organization* 30 (September 2012): 447-458.
- "Adaptation and the Boundary of Multinational Firms" (with Arnaud Costinot and Lindsay Oldenski), *Review of Economics and Statistics* 93 (February 2011): 298-308.
- "Development Through Synergistic Reforms," *Journal of Development Economics* 93 (November 2010): 153-161 (lead article).
- "The Three Arab Worlds" (with Scott Kostyshak), *Journal of Economic Perspectives* 23 (Summer 2009): 165-188.
- "Neckties in the Tropics: A Model of International Trade and Cultural Diversity" (with Vitor Trindade), *Canadian Journal of Economics* 42 (August 2009): 809-843 (lead article).

- "Growth and International Trade," in Steven Durlauf and Lawrence Blume, eds., *The New Palgrave Dictionary of Economics, 2nd edition*, Palgrave Macmillan, 2008.
- "Introduction: On the Formation and Decay of Interdisciplinary Boundaries" (with Joel Podolny), in James E. Rauch, ed., *The Missing Links: Formation and Decay of Economic Networks*, New York: Russell Sage Foundation, 2007.
- "Clusters and Bridges in Networks of Entrepreneurs" (with Joel Watson), in James E. Rauch, ed., *The Missing Links: Formation and Decay of Economic Networks*, New York: Russell Sage Foundation, 2007.
- "Network Intermediaries in International Trade" (with Joel Watson), *Journal of Economics and Management Strategy* 13 (Spring 2004): 69-93.
- "Starting Small in an Unfamiliar Environment" (with Joel Watson), *International Journal of Industrial Organization* 21 (September 2003): 1021-1042.
- "Information, International Substitutability, and Globalization" (with Vitor Trindade), *American Economic Review* 93 (June 2003): 775-791.
- "Overcoming Informational Barriers to International Resource Allocation: Prices and Ties" (with Alessandra Casella), *Economic Journal* 113 (January 2003): 21-42.
- "Anonymous Market and Group Ties in International Trade" (with Alessandra Casella), *Journal of International Economics* 58 (October 2002): 19-47. Reprinted in *Recent Developments in International Trade Theory*, Rod Falvey and Udo Kreickemeier, eds. (Cheltenham, UK: Edward Elgar).
- "Ethnic Chinese Networks in International Trade" (with Vitor Trindade), *Review of Economics and Statistics* 84 (February 2002): 116-130. Reprinted in *Empirical International Trade*, Daniel M. Bernhofen, ed. (Cheltenham, UK: Edward Elgar): 666-680.
- "Business and Social Networks in International Trade," *Journal of Economic Literature* 39 (December 2001): 1177-1203.
- "Networks and Markets: Concepts for Bridging Disciplines" (with Gary G. Hamilton), in James E. Rauch and Alessandra Casella, eds., *Networks and Markets*, New York: Russell Sage Foundation, 2001.
- "Black Ties Only? Ethnic Business Networks, Intermediaries, and African-American Retail Entrepreneurship," in James E. Rauch and Alessandra Casella, eds., *Networks and Markets*, New York: Russell Sage Foundation, 2001.
- "Leadership Selection, Internal Promotion, and Bureaucratic Corruption in Less Developed Polities," *Canadian Journal of Economics* 34 (February 2001): 240-258.

- "Bureaucratic Structure and Bureaucratic Performance in Less Developed Countries" (with Peter B. Evans), *Journal of Public Economics* 75 (January 2000): 49-71.
- "Bureaucracy and Growth: A Cross-National Analysis of the Effects of 'Weberian' State Structures on Economic Growth" (with Peter B. Evans), *American Sociological Review* 64 (October 1999): 748-765. Reprinted in Banco de Desarrollo de América Latina, *Capacidades Estatales: Diez Textos Fundamentales* (Buenos Aires: CAF), and in *Political Corruption*, Bo Rothstein, ed. (Cheltenham, UK: Edward Elgar).
- "Openness, Specialization, and Productivity Growth in Less Developed Countries" (with Diana Weinhold), *Canadian Journal of Economics* 32 (August 1999): 1009-1027.
- "Introduction to Symposium on 'Business and Social Networks in International Trade" (with Robert C. Feenstra), *Journal of International Economics* 48 (June 1999): 3-6.
- "Networks Versus Markets in International Trade," *Journal of International Economics* 48 (June 1999): 7-35. Reprinted in *Empirical International Trade*, Daniel M. Bernhofen, ed. (Cheltenham, UK: Edward Elgar): 637-665, and in *Economic Analyses of Social Networks*, Matthew O. Jackson and Yves Zenou, eds. (Cheltenham, UK: Edward Elgar).
- "Quantitative Cross-National Studies of Economic Development: A Comparison of the Economics and Sociology Literatures" (with Angela Martin Crowly, Susanne Seagrave, and David A. Smith), *Studies in Comparative International Development* 33 (Summer 1998): 30-57.
- "Balanced and Unbalanced Growth," *Journal of Development Economics* 53 (June 1997): 41-66. [Reprinted with corrected page proofs in *Journal of Development Economics* 54 (December 1997): 493-518.]
- "Bureaucracy, Infrastructure, and Economic Growth: Evidence from U. S. Cities During the Progressive Era," *American Economic Review* 85 (September 1995): 968-979.
- "Productivity Gains from Geographic Concentration of Human Capital: Evidence from the Cities," *Journal of Urban Economics* 34 (November 1993): 380-400.

 Reprinted in *Regional Policy and Regional Integration*, Niles Hansen, Kenneth J. Button, and Peter Nijkamp, eds. (Cheltenham, UK: Edward Elgar): 505-525, and in *The Growth of Cities*, Zoltan J. Acs, ed. (Cheltenham, UK: Edward Elgar): 344-364.
- "Economic Development, Urban Underemployment, and Income Inequality," *Canadian Journal of Economics* 26 (November 1993): 901-918.

- "Does History Matter Only When it Matters Little? The Case of City-Industry Location," *Quarterly Journal of Economics* 108 (August 1993): 843-867.
- "A Note on the Optimum Subsidy to a Learning Industry," *Journal of Development Economics* 38 (January 1992): 233-243.
- "Reconciling the Pattern of Trade with the Pattern of Migration," *American Economic Review* 81 (September 1991): 775-796.
- "Comparative Advantage, Geographic Advantage, and the Volume of Trade," *Economic Journal* 101 (September 1991): 1230-1244.
- "Modelling the Informal Sector Formally," *Journal of Development Economics* 35 (January 1991): 33-47.
- "Increasing Returns to Scale and the Pattern of Trade," *Journal of International Economics* 26 (May 1989): 359-369.
- "The Transfer of Production from Rich to Poor Countries," *Journal of Development Economics* 23 (September 1986): 41-53.

POPULAR PUBLISHED PAPERS:

- "Egypt: An Economic Growth Success, yet a Health Failure?" *Cairo Review of Global Affairs*, https://www.thecairoreview.com/essays/egypt-an-economic-growth-success-yet-a-health-failure/, published online August 7, 2023.
- "Empty Streets: How Building Networks Can Put More Black Retailers in City Neighborhoods," *Brookings Review* 15 (Winter 1997): 14-17.

WORKING PAPERS:

- "Credit Supply Shocks and Firm Dynamics: Evidence from Brazil" (with Samuel Bazzi, Marc Muendler, and Raquel Oliveira), National Bureau of Economic Research Working Paper No. 31721, September 2023.
- "Mobilizing Social Capital Through Employee Spinoffs" (with Marc Muendler), National Bureau of Economic Research Working Paper No. 18459, October 2012.

RESTING PAPERS:

- "Small Government and Majority Antipathy Toward a Poor Minority: The Role of Progressive Taxation," August 2020.
- "The Impact of Entrepreneurial Risk Aversion on Wages in General Equilibrium" (with Ying Feng), NBER Working Paper #20992, March 2015.

- "Trade and Search: Social Capital, Sogo Shosha, and Spillovers," NBER Working Paper #5618, June 1996.
- "Endogenous Technological Progress and the Terms of Trade in the Lewis World Model," January 1991.
- "Openness and the Rate of Economic Growth" (with Danny Quah), November 1990.
- "The Question of International Convergence of Per Capita Consumption," September 1989.

COMMENTS AND REVIEWS:

- Review of Global Production: Firms, Contracts, and Trade Structure, by Pol Antràs, Journal of Economic Literature 54 (June 2016): 595-598.
- Review of Emergent Economies, Divergent Paths: Economic Organization and International Trade in South Korea and Taiwan, by Robert C. Feenstra and Gary G. Hamilton, Journal of Economic Literature 49 (March 2011): 34-36.
- "Does Network Theory Connect to the Rest of Us? Jackson's *Social and Economic Networks*," *Journal of Economic Literature* 48 (December 2010): 980-986 (feature article review).
- "Getting the Properties Right to Secure Property Rights: Dixit's *Lawlessness and Economics*," *Journal of Economic Literature* 43 (June 2005): 480-487 (feature article review). Reprinted in *The Economic Role of the State*, Peter J. Boettke and Peter T. Leeson, eds. (Cheltenham, UK: Edward Elgar).
- Comment (with Magnus Lofstrom) on paper by Krugman, *The Impact of International Trade on Wages*, Robert C. Feenstra, ed. (Chicago: University of Chicago Press), 2000: 29-36.
- Comment on paper by Krugman, *Annual Bank Conference on Development Economics* 1998, Boris Pleskovic and Joseph E. Stiglitz, eds. (Washington, D.C.: World Bank): 118-123. Reprinted as "The Role of Transportation Costs for People," *International Regional Science Review* 22 (August 1999): 173-178.
- Comment on papers by Mauro and Rose-Ackerman, *Corruption in the World Economy*, Kimberly Elliott, ed. (Washington, D.C.: Institute for International Economics), 1997: 113-116.
- Comment on paper by Ethier, *New Directions in Trade Theory*, Alan V. Deardorff et al., eds. (Ann Arbor: University of Michigan), 1995: 105-109.
- Review of Constitutional Environments and Economic Growth by Gerald W. Scully, Annals of the American Academy of Political and Social Science 533 (May 1994): 224-225.

- Comment on paper by Pinnoi, *Journal of Economic Behavior and Organization* 23 (March 1994): 171-72.
- Review of *Geography and Trade* by Paul R. Krugman, *Journal of International Economics* 34 (February 1993): 195-198.

GRANTS (Principal Investigator):

- Centre for Economic Policy Research Grant, "Firm Entry Barriers, Growth Constraints and Job Creation" (with Samuel Bazzi and Marc Muendler), 2015-2016 (GBP 39,600)
- Centre for Economic Policy Research Grant, "Firm Entry Barriers, Growth Constraints and Job Creation" (with Samuel Bazzi and Marc Muendler), 2014-2015 (GBP 20,744)
- National Science Foundation Grant, "The Origins of Successful NGOs: Evidence from Brazil" (with Marc Muendler), 2011
- International Growth Centre Grant, "Employee Spinoffs, Agglomeration, Formation of Teams, and Small Startups: Evidence from Brazil" (with Marc Muendler), 2010-2011
- Southern California Innovation Project Grant, "Client-Based Entrepreneurship" (with Joel Watson), 2009
- World Bank Grant, "Quantifying Spinoff Entrepreneurship in Brazil" (with Oana Hirakawa and Marc Muendler), 2008-2009
- National Science Foundation Grant, "Trade and Travel" (with Jennifer Poole), 2005-2006
- National Science Foundation Grant, "Network Intermediation in International Trade: Theory and Evidence," 2000-2002
- National Science Foundation Grant, "Anonymous Markets and Coethnic Ties in International Trade" (with Alessandra Casella), 1997-2000
- National Science Foundation Grant, "Institutions and Economic Growth: The Case of Professional Bureaucracy," 1994-1997
- Institutional Reform and the Informal Sector Grant, "Bureaucratic Structures and Economic Performance in Less Developed Countries," 1994-1995 (with Peter Evans, Department of Sociology, UC Berkeley)
- Russell Sage Foundation Grant, "Ideas, Institutions, and Economic Growth," 1993 (with Peter Evans, Department of Sociology, UC Berkeley)

- National Science Foundation Grant, "Balanced and Unbalanced Growth," 1992-1994
- National Science Foundation Grant, "The Question of International Convergence of Per Capita Consumption," 1990-1992

POSITIONS HELD:

- Professor Emeritus, Department of Economics, University of California, San Diego, July 2022-
- Chair, Department of Economics, University of California, San Diego, July 2013-June 2016
- Professor, Department of Economics, University of California, San Diego, July 1998-June 2022
- Research Associate, National Bureau of Economic Research, Inc., October 1993-
- Associate Professor, Department of Economics, University of California, San Diego, July 1992-June 1998
- Faculty Research Fellow, National Bureau of Economic Research, Inc., October 1990-September 1993
- Assistant Professor, Department of Economics, University of California, San Diego, July 1986-June 1992
- Visiting Assistant Professor, Department of Economics, State University of New York at Stony Brook, September 1985-May 1986
- Teaching Assistant, First-year graduate sequence in Microeconomic Theory, Yale University, September 1982-May 1985
- Research Assistant, Professor Gustav Ranis, Department of Economics, Yale University, May 1981-May 1985
- Editor of Office of Tax Analysis working paper series, U.S. Department of the Treasury, Summer 1980

EDITORIAL BOARDS:

Co-editor, *Journal of International Economics*, 2001-2010 Associate editor, *Journal of International Economics*, 1995-2001, 2010-2013 Associate editor, *Review of Economics and Statistics*, 2001-2013

ORGANIZER:

- 2019: West Coast Trade Workshop, UCSD (with Gordon Hanson and Natalia Ramondo)
- 2009: Program Committee, North American Econometric Society Winter Meeting
- 2008: Conference, "Development Without Developmental States: Latin America and MENA Compared," UCSD (April)
- 2005: Working Group on Formation and Decay of Economic Networks, Russell Sage Foundation (June)
- 2004: Working Group on Formation and Decay of Economic Networks, Russell Sage Foundation (July)
- 2003: Working Group on Formation and Decay of Economic Networks, Russell Sage Foundation (May and December)
- 2002: Working Group on Formation and Decay of Economic Networks, Russell Sage Foundation (February and October)
- 2001: National Bureau of Economic Research Summer Institute, International Trade and Investment Program (with Gordon Hanson, Department of Economics, University of Michigan)
- 2000: National Bureau of Economic Research Summer Institute, International Trade and Investment Program (with Edward Leamer, Department of Economics, UCLA)
- 1997: "Integrating Network and Market Models of the Economy," a workshop sponsored by the Russell Sage Foundation, Russell Sage Foundation (with Alessandra Casella, Department of Economics, Columbia University)
- 1996: "Social Capital, International Trade and Investment," a workshop sponsored by the Social Capital and Public Affairs Project, National Bureau of Economic Research (Stanford)
- 1993,: "Ideas, Institutions, and Economic Growth," workshops sponsored by the1994 Russell Sage Foundation, UC Berkeley (with Peter Evans, Department of Sociology, UC Berkeley)
- 1991: "Endogenous Growth Theory: An Occasion for Interdisciplinary Dialogue on Theories of Development?" a workshop sponsored by the Russell Sage Working Group on States and Social Structures, UC Berkeley (with Peter Evans, Department of Sociology, UC Berkeley)