



## **VICTOR NEE**

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### **EDUCATION**

1977            Ph.D., M.A., Harvard University  
1967            A.B. University of California, Santa Cruz [high honors]  
1963-65        University of California, Los Angeles

### **PROFESSIONAL APPOINTMENTS**

Frank and Rosa Rhodes Professor of Economic Sociology (2011-present)  
  
Director, Center for the Study of Economy and Society, Cornell University (2001-2018;  
2021-present)  
  
Global Professor of Social Research and Public Policy, New York University, Abu  
Dhabi, (2011-2012)  
  
Goldwin Smith Professor of Sociology, Cornell University (1991-2011)  
  
Chair, Department of Sociology, Cornell University (1997-2000; 2001-2002)  
  
Visiting Scholar, Department of Sociology, Harvard University (2000-2001)  
  
Director, Comparative Societal Analysis Program (1991-1994;1995-1996)

Professor of Sociology, Cornell University (1987-1991)

Associate Professor of Sociology, Cornell University (1984-1987)

Associate Professor of Sociology, University of California at Santa Barbara, (1982-1984)

Visiting Professor, Center for International Studies, Cornell University (1982-1983)

Assistant Professor of Sociology, University of California, Santa Barbara (1977-1982)

Acting Assistant Professor, Department of Sociology, Cornell University (1977-1978)

Research Associate, China-Japan Program, Cornell University (1976-1977)

Teaching Fellow, Department of Sociology, Harvard University (1975, 1969-1970)

Lecturer, John F. Kennedy Institute of Politics, Harvard University (1969-1970)

## **HONORS AND FELLOWSHIPS**

2020	Elected Member, American Academy of Arts and Sciences
2017-18	President of the Eastern Sociological Society
2015-16	Visiting Scholar, Russell Sage Foundation
2013	Ph.D. <i>h.c.</i> in Economics, awarded by School of Economics, Lund University, Sweden
2006-2007	Fellow, John Simon Guggenheim Foundation
2004	Distinguished Scholarship Award, International Association on Chinese Management Research
1996-1997	Fellow, Center for Advanced Study in the Behavioral Sciences
1992	Elected Member, Sociological Research Association
1991	Elected Member, Society for Comparative Research
1994-1995	Visiting Scholar, Russell Sage Foundation
1990-1991	Fellowship, American Council of Learned Societies and Social Science Research Council, Fellowship
1979-1980	Post-doctoral Fellowship, Social Science Research Council and the

## SCHOLARLY PUBLICATION AWARDS

- 2016 Best Paper Award in Entrepreneurship, Organization and Management Theory Division, Academy of Management for “Learning to Trust: from Relational Exchange to Generalized Trust.” Published by *Organization Science* (2018)
- 2014 James S. Coleman Best Book Award from the American Sociological Association, Rationality and Society Section for *The Handbook of Rational Choice Social Research* (Stanford University Press)
- 2014 Best Paper on Theory of Management from the International Association of Chinese Management Research and Peking University Press; received in Beijing, China. For “Market Transition and the Firm: Institutional Change and Income Inequality in Urban China,” by Victor Nee and Yang Cao. <https://doi.org/10.1111/j.1740-8784.2004.00003x>
- 2013 George R. Terry Book Award, Academy of Management, for *Capitalism from Below: Markets and Institutional Change in China* (Harvard University Press)
- 2013 Gold Medal, International Business / Globalization Category Axiom Book Awards, for *Capitalism from Below: Markets and Institutional Change in China* (Harvard University Press)
- 2012 Choice Outstanding Academic Title of 2012, for *Capitalism from Below* (Harvard University Press)
- 2005 Mirra Komoravsky Best Book Award, Eastern Sociological Society, for *Remaking the American Mainstream: Contemporary Immigration and Assimilation* (Harvard University Press)
- 2004 Thomas and Znaniecki Best Book Award from the International Migration Section of the American Sociological Association, for *Remaking the American Mainstream* (Harvard University Press)
- 2004 Honorable Mention, Best Book Award for scholarly publications in Sociology and Anthropology, Association of American Publishers, for *Remaking the American Mainstream*
- 2000 James S. Coleman Best Book Award, American Sociological Association, Rationality and Society Section for *The New Institutionalism in Sociology* (Russell Sage Foundation).

## RESEARCH GRANTS

John Templeton Foundation, (2015-2018) "Private Enterprise, Entrepreneurs and Public-Spiritedness in China". Victor Nee P.I., \$1,190,448 ID#57411.

Marianne and Marcus Wallenberg Foundation, Sweden, "The Making of Knowledge-Based Metropolitan Economies: IT Start-ups in International Comparison," \$1,075,000 (Sonja Oppen, P.I.; Victor Nee P.I. (New York City tech study module), 2014-2017.

Handelsbanken Foundation, Sweden, "Entrepreneurship under Politicized Capitalism: Longitudinal Study of China's Private Enterprise Economy." (Sonja Oppen, P.I.; Victor Nee and Hakan Holm, Co-PI).

National Science Foundation, Post-Doctoral Training Grant in Economic Sociology, "The Great Recession and its Social Consequences," awarded to six universities: Cornell, Harvard, Princeton, Stanford University, University of California at Berkeley, University of Wisconsin. Victor Nee P.I., and Kim Weeden, co-P.I., 2009-2015, Cornell's module \$260,000.

"Project on Rational Choice Social Research." Russell Sage Foundation, 2007, \$50,000.

The John Templeton Foundation: Grant for "Entrepreneurs and the Birth of a Free Market Economy in China and Russia" 2005-09, \$1,985,000, (Victor Nee, P.I.).

National Science Foundation, Dissertation Grant, John Calvin Scott, 2005. \$8,500.

The John Templeton Foundation: Grant on the "Ethics and Spirit of Capitalism," (Victor Nee and Richard Swedberg), 2004- 2006, \$150,000.

National Science Foundation: Scholarship grant for individual research #SBR-9022192, 1996, \$85,000.

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Presidential Grant, Russell Sage Foundation, "New Institutionalism in Economic Sociology" 1995 (small conference grant).

National Science Foundation: "The Emergence of a Market Society: Between Redistribution and Markets in China." SES-9309651 1993, \$175,000

National Science Foundation: Dissertation Grant, Lisa Keister, 1995, \$7,500 L. T. Lam Grant for research on South China, 1993 (small grant). National Science Foundation: Dissertation Grant, Scott Sernau, 1992. L. T. Lam Grant for research on South China, 1991 (small grant).

National Science Foundation: "New Immigrants from Asia: Family and Ethnic Basis of Socioeconomic Adaptation" (Victor Nee and Jimmy Sanders) SES-9008785, 1990, \$97,500

National Science Foundation: REU grant for undergraduate research, SES-9008785.

Hatfield Fund Grant, Cornell University, 1989 (small grant).

L. T. Lam Grant for research on South China, 1989 (small grant).

National Science Foundation: "New Immigrants from Asia: Family and Ethnic Basis of Socioeconomic Adaptation" (Victor Nee and Jimmy Sanders) SES-8811167, 1988, \$125,000

## **EDITORIAL RESPONSIBILITIES**

Member of Editorial Board, *American Sociological Review* 1996 – 1999; 2014-2018.

Editor, publication series, Center for the Study of Economy and Society, 2001 - present

Member of Editorial Board, *International Migration Review* 1993 – 2010

Member of Editorial Board, *Annual Review of Sociology*, 1996 – 2000

Associate Editor, *Rationality and Society* 2000 – 2016.

Co-Editor of *Working Papers on the Transitions from State Socialism* 1990 - 1997

Deputy Editor, *Sociological Forum*, 1987 - 1992 Associate Editor, *Sociological Forum*, 1985 - 1987.

## **PUBLICATIONS**

### **Authored Book**

Victor Nee. Forthcoming. *Reflections on China's Economic Development and Social Transformation: Observations and Perspectives of an American Scholar*. Yujun Wang and Zhilin Liu (Eds). Peking: Peking University Press.

Victor Nee and Sonja Oppen. 2012. *Capitalism from Below: Markets and Institutional Change in China*, Victor Nee and Sonja Oppen. Cambridge, MA: Harvard University Press.

Chinese translation, *Zixia er Shang de Bianhua: Zhongguo de Shichang Zhuanxing*. Peking, Peking University Press, 2016.

Richard Alba and Victor Nee. 2003. *Remaking the American Mainstream: Assimilation and the New Immigration*. (Cambridge, MA: Harvard University Press).

Paperback edition, Harvard University Press, 2005.

Victor Nee. 1991. *Social Exchange and Political Process in China*, Harvard Best Dissertation Series in Sociology, Editors: Aage Sorenson and Liah Greenfield (Garland)

*Longtime Californ': Documentary Study of an American Chinatown*, Brett de Bary and Victor Nee. New York: Pantheon Books, 1973.

*New York Times* Ten best books of 1973

Paperback edition, Houghton Mifflin, 1974

Pantheon Books paperback 1976, 1981

Third edition: Stanford University Press, 1986

Random House, Penguin Books, ebook series, 2014

## **Edited Books**

Rafael Wittek, Tom Snijders and Victor Nee (Editors). 2013. *The Handbook for Rational Choice Social Research*. Stanford: Stanford University Press.

Victor Nee and Richard Swedberg (Editors). *On Capitalism*. Stanford: Stanford University Press, 2007.

Paperback edition, Stanford University Press, 2007.

Victor Nee and Richard Swedberg (Editors). 2005. *The Economic Sociology of Capitalism*. Princeton: Princeton University Press.

Paperback edition, Princeton University Press, 2005.

Mary Brinton and Victor Nee (Editors). 1998. *The New Institutionalism in Sociology*. New York: Russell Sage Foundation.

Paperback edition, Stanford: Stanford University Press, 2001.

Thomas Lyons and Victor Nee (Editors). 1994. *The Economic Transformation of South China: Reform and Development in the Post Mao Era*, edited by. Cornell East Asia Series, no. 70 (Ithaca: East Asia Program, 1994).

Victor Nee and David Stark (Editors). 1989. *Remaking the Economic Institutions of Socialism: China and Eastern Europe*. Stanford: Stanford University Press.

Victor Nee and David Mozingo (Editors). *State and Society in Contemporary China*.

Ithaca, N.Y.: Cornell University Press). Spanish edition, 1984.

Victor Nee and James Peck (Editors). *China's Uninterrupted Revolution: From 1850 to the Present*. New York: Pantheon Book. A book of the month selection of the International Affairs Book Club.

## Articles

Mario Molina, Victor Nee\*, Hakan Holm. Forthcoming. "Cooperation with Strangers: Spillover of Community Norms." *Organization Science*, [\*corresponding author].

Victor Nee. 2020. "Mechanisms of Institutional Change." *Studies in Critical Social Sciences* 156: 166-184.

Victor Nee and Lucas Drouhot. 2020. "Immigration, Opportunity and Assimilation in a Technology Economy." *Theory and Society* 49: 965-990.

Daniel DellaPosta and Victor Nee. 2020. "Emergence of Diverse and Specialized Knowledge in a Metropolitan Cluster." *Social Science Research*, 86:102377.

Victor Nee. 2020. "Market Transition and Theory of Institutional Change." *Intellectuals, Inequalities and Transitions: Prospects for a Critical Sociology*, edited by Tamas Demeter. Leiden, Netherlands: Brill.

Lucas Drouhot and Victor Nee. 2019. "Assimilation and the Second Generation in Europe and America: Blending and Segregating Social Dynamics." *Annual Review of Sociology*. 45: 2.1-2.23.

Holm, Hakan J., Victor Nee and Sonja Oppen. 2019. "Strategic Decisions: Behavioral Differences between CEOs and Others." *Experimental Economics*.  
<https://doi.org/10.1007/s10683-019-09604-3>

Richard Alba and Victor Nee. 2019. "Assimilation and Segmented Assimilation" Chapter 46 in *The SAGE Handbook of International Migration*; Christine Inglis, Binod Khadria and Wei Li Eds. London: Sage Publication.

Victor Nee, Hakan Holm and Sonja Oppen. 2018. "Learning to Trust: from Relational Exchange to Generalized Trust." *Organization Science* 29(5):969-986.  
<https://doi.org/10.1287/orsc.2018.1213>.

Victor Nee. 2018. "Middle-Range Theories of Institutional Change." *Sociological Forum* 33 (4): DOI:10.1111/sof.12476.

Victor Nee, Lisha Liu and Daniel DellaPosta. 2017 "The Entrepreneur's Network and Firm Performance." *Sociological Science* 4: 552-579.

Daniel DellaPosta, Victor Nee and Sonja Oppen. 2017. "Endogenous Dynamics of Institutional Change" *Rationality and Society* 29: 5-28.

Lead article and focus of comments by Robert Solow, Paul DiMaggio, Michael Macy, Randall Calvert, Martin Ruef, Arnout van de Rijt, and Christopher Cameron.

Sonja Oppen, Victor Nee and Hakan Holm. 2017 "Risk Aversion and Guanxi Activities: A Behavioral Analysis of CEOs in China". *Academy of Management Journal* 60: 1504-1530.

Sonja Oppen, Victor Nee and Stephan Brehm. 2015. "Homophily in the Career Mobility of China's Political Elite." *Social Science Research* 54: 332-352.

Sonja Oppen and Victor Nee. 2015. "Network Effects, Cooperation and Entrepreneurial Innovation in China." *Asian Business & Management* 14(4): 293-302.

Victor Nee and Sonja Oppen. 2015. "From Norms and Networks to Economic Institutions" In *Re-imagining Economic Sociology*, Patrik Aspers and Nigel Dodd, Eds. Oxford: Oxford University Press.

Victor Nee and Sonja Oppen. 2015. "Sociology and the New Institutionalism." *International Encyclopedia of the Social & Behavioral Sciences*, 2<sup>nd</sup> Edition (Area 3), edited by Douglas Massey and Yoshimichi Sato, Elsevier.

Victor Nee. 2014. "Economic Sociology, Conceptual Innovation and Exchange with Economics." *Economic Sociology* 14 (2, 3): 144-153, 169-178.

Victor Nee and Sonja Oppen. 2014. "Markets and Institutional Change in China" *The Oxford Companion to the Economics of China*, Edited by Ravi Kanbur, Shang-Jin Wei, Xiaobo Zhang, Oxford University Press, pp. 267-272.

Richard Alba and Victor Nee. 2014. "Assimilation" In *An Introduction to Immigrant Incorporation Studies: European Perspectives*, edited by Marco Martineello and Jan Rath, Amsterdam: Amsterdam University Press, pp 53-69.

Victor Nee and Michael Siemon. 2014. "State Structures" *Concise Encyclopedia of Comparative Sociology*, edited by Sasaki Masamichi, Jack Goldstone. Brill Publishers.

(Richard Alba and Victor Nee. 2014. "Assimilation Theory for an Era of Unprecedented Diversity" In *Social Stratification in Sociological Perspective*, David Grusky, Ed. Fourth edition, pp. 721-728.

Victor Nee and Hilary Holbrow. 2013. "Why Asian Americans are Becoming Mainstream" *Daedalus*, 142 (3): 65-75.

Hakan Holm, Sonja Oppen and Victor Nee. 2013. "Entrepreneurs under Uncertainty: An Economic Experiment" *Management Science* 59:1671-1687.



- Victor Nee and Richard Alba. 2013. "A Theory of Assimilation." Pp. 355-380 in *Handbook of Rational Choice Social Research*, edited by Rafael Wittek, Tom A.B. Snijders and Victor Nee. Stanford: Stanford University Press.
- Rafael Wittek, Tom A.B. Snijders and Victor Nee. 2013. "Introduction: Rational Choice Social Research." Pp. 1-32 in *The Handbook of Rational Choice Social Research*. Stanford: Stanford University Press.
- Victor Nee. 2010. "Bottom-up Economic Development and the Role of the State." *Sociologica* DOI: 10.2383/32063.
- Victor Nee and Sonja Oppen. 2010. "Political Capital in a Market Economy." *Social Forces* Vol. 88: 2105-2133.
- Victor Nee, Jeong-han Kang and Sonja Oppen. 2010. "A Theory of Innovation: Institutions, Markets and the Firm." *Journal of Institutional and Theoretical Economics* 166: 397-425.
- Victor Nee and Sonja Oppen. 2010. "Endogenous Institutional Change in the Rise of Market Capitalism in China" *Sociologia del lavoro*, 118:15-37.
- Victor Nee and Sonja Oppen. 2009. "Bringing Market Transition Theory to the Firm." *Research in the Sociology of Work* 19: 3-34.
- Richard Alba and Victor Nee. 2009. "Assimilation" *Encyclopedia of the Social Sciences*.
- Victor Nee and Sonja Oppen. 2009. "Bureaucracy and Financial Markets" *Kyklos* 62: 293-315.
- Victor Nee and Sonja Oppen. 2007. "On Politicized Capitalism" Pp. 93-127 in *On Capitalism*, edited by Victor Nee and Richard Swedberg. Stanford: Stanford University Press.
- Victor Nee and Richard Swedberg. 2007. "Introduction" Pp. 1-18 in *On Capitalism*, edited by Victor Nee and Richard Swedberg. Stanford: Stanford University Press.
- Victor Nee, Sonja Oppen and Sonia Wong. 2007. "Developmental State and Corporate Governance in China" *Management and Organization Review* 3: 19-51.
- Yang Cao and Victor Nee 2007. "Remaking Inequality: Institutional Change and Income Stratification in Urban China" *Journal of the Asia Pacific Economy* 10: 463-485.
- Victor Nee and Sonja Oppen. 2006. "Economic Transformation in Post-Communist Societies." In *The International Encyclopedia of Economic Sociology*. London: Blackwell.
- Victor Nee and Richard Swedberg. 2005. "Introduction" Pp. xxxv-xlvi in *The Economic Sociology of Capitalism*, Victor Nee and Richard Swedberg, Eds. Princeton: Princeton

University Press.

Victor Nee and Richard Swedberg. 2005. "Economic Sociology and New Institutional Economics." Pp. 789-818 in *The Handbook of New Institutional Economics* Claude Menard and Mary Shirley, eds. Amsterdam: Kluwer Publication, 2005.

Victor Nee. 2005. "The New Institutionalism in Economics and Sociology." Pp. 49-74 in *The Handbook of Economic Sociology* (2nd ed.) edited by Neil Smelser and Richard Swedberg. Princeton: Princeton University Press.

Victor Nee. 2005. "Market Transition and the Life Course in Post-Communist Societies" In *Historical Influences on Lives and Aging*, Glen Elder and K. Warner Schaie, Eds. New York: Springer Publishing.

Victor Nee. 2005. "Organizational Dynamics of Institutional Change: Politicized Capitalism in China." Pp. 53-74 in *The Economic Sociology of Capitalism*, edited by Victor Nee and Richard Swedberg Eds., Princeton: Princeton University Press.

Richard Alba and Victor Nee. 2004. "A New Concept of Assimilation: A Reformulation for the New American Diversity" *Migrationsreport 2004: Fakten – Analysen – Perspektiven*, hg. für den Rat für Migration v. Klaus J. Bade, Michael Bommers und Rainer Münz, Campus, Frankfurt a. M., New York.

Victor Nee and Yang Cao. 2004. "Market Transition and the Firm: Institutional Change and Income Inequality in Urban China" *Management and Organizations Review* 1: 23-56.

Victor Nee. 2003. "Institutional Change and Immigration" in *Host Societies*, Jeffrey Reitz, Ed., San Diego: Center for Migration Studies.

"Assimilation Theory Reformulated" (Victor Nee and Richard Alba) in *Reinventing the Melting Pot*, Tamar Jacoby, Ed. New York: Free Press, 2003.

Victor Nee and Yang Cao. 2002. "Post-Communist Inequality: The Problem of Continuity and Discontinuity" *Research on Social Stratification and Mobility*, 19: 3-39

Jimmy Sanders, Victor Nee and Scott Sernau. 2002. "Networks Beyond the Ethnic Labor Market") *Social Forces*. 81: 281-314

Victor Nee. 2001. "Postsocialist Stratification" pp. 846-851 in *Social Stratification in Sociological Perspective*, David Grusky, Ed. Boulder CO: Westview Press. Third edition, 2007.

Victor Nee and Jimmy Sanders. 2001. "Trust in Ethnic Ties: Social Capital and Immigrants" Pp. 374-392 in *Trust and Society*, edited by Karen Cook (Russell Sage Foundation.

Victor Nee and Jimmy Sanders. 2001. "Understanding the Diversity of Immigrant

- Incorporation: A Forms of Capital Model.” *Ethnic and Racial Studies* 24:386-411.
- Lisa Keister and Victor Nee. 2001. “The Rational Peasant in China: Flexible Adaptation, Risk Diversification and Opportunity” *Rationality and Society* 13:33-39.
- Rebecca Matthews and Victor Nee. 2000. “Gender Inequality and Nonfarm Employment in Rural China” *Social Science Research* 29: 606-632.
- Victor Nee. 2000. “The Role of the State in Making a Market Economy.” *Journal of Institutional and Theoretical Economics* 156: 64-88.
- Yang Cao and Victor Nee. 2000. “Controversies and Evidence in the Market Transition Debate.” *American Journal of Sociology* 105:1175-1195.
- Victor Nee and Yang Cao. 1999. “Path Dependent Societal Transformation: Stratification in Mixed Economies.” *Theory and Society* 28: 799-834.
- Victor Nee and Sijin Su. 1998. “Institutional Foundation of Robust Economic Performance: Public-Sector Industrial Growth in China.” Pp. 167-187 in *Industrial Transformation in Eastern Europe in the Light of the East Asian Experience*, Jeffrey Henderson, Ed. Houndmills: MacMillan Press.
- Victor Nee and David Strang. 1998. “The Emergence and Diffusion of Institutional Forms” *Journal of Institutional and Theoretical Economics*. 154: 706-715.
- “Norms and Networks in Economic and Organizational Performance.” *American Economic Review* Vol. 87, No. 4, (1998: 85-89).
- Victor Nee and Paul Ingram. 1998. "Embeddedness and Beyond: Institutions, Exchange and Social Structure." Pp. 19-45 in *The New Institutionalism in Sociology*, Mary Brinton and Victor Nee, Eds. New York: Russell Sage Foundation.
- Victor Nee. “Sources of the New Institutionalism.” Pp. 1-16 in *The New Institutionalism in Sociology*, Mary Brinton and Victor Nee, Eds. New York: Russell Sage Foundation.
- Victor Nee and Mary Brinton. 1998. “Introduction.” pp. Xvii-xxi in *The New Institutionalism in Sociology*, Mary Brinton and Victor Nee, Eds. New York. Russell Sage Foundation.
- Richard Alba and Victor Nee. 1997. “Rethinking Assimilation Theory in a New Era of Immigration” *International Migration Review* 31 (4): 826-874.
- Reprinted in *Handbook of International Migration*, edited by Charles Hirschman, Philip Kasinitz, Josh DeWind. (New York: Russell Sage Foundation, 1999).
- Victor Nee and Raymond Liedka. 1997. "Markets and Inequality in the Transitions from State Socialism" Pp. 202-224 in *Inequality, Democracy, and Economic Development*, edited by M. Midlarsky. Cambridge: Cambridge University Press, 1997.

Jimmy Sanders and Victor Nee. 1996. "Immigrant Self-Employment: The Family as Social Capital and the Value of Human Capital" *American Sociological Review* 60:231-250.

Victor Nee and Rebecca Matthews. 1996. "Market Transition and Societal Transformation in Reforming State Socialism" *Annual Review of Sociology* 22: 401-36.

Victor Nee. 1996. "The Emergence of a Market Society: Changing Mechanisms of Stratification in China." *American Journal of Sociology* 100: 908-949.

Victor Nee and Sijin Su. 1996. "Institutions, Social Ties, and Credible Commitment: Local Corporatism in China." Pp. 111-134 in *Reforming Asian Economies: The Growth of Market Institutions*, edited by John McMillan and Barry Naughton. Ann Arbor: University of Michigan Press.

Victor Nee. 1996. "Federalist and Local Corporatist Theories: A Comment on an Empirical Test." in *The Political Economy of Property Rights: Institutional Change and Credibility on the Road from Serfdom*, David Weimer, Ed. Cambridge: Cambridge University Press.

Victor Nee, Jimmy Sanders and Scott Sernau. 1994. "Job Transitions in an Immigrant Metropolis: Ethnic Boundaries and Mixed Economy" *American Sociological Review* 59: 849-872.

Victor Nee. 1994. "Institutional Change and Regional Growth: An Introduction." Pp. 1-12 in *The Economic Transformation of South China: Reform and Development in the Post-Mao Era*, Thomas Lyons and Victor Nee, Eds. Ithaca, NY: East Asia Series.

Victor Nee and Peng Lian. 1994. "Sleeping with the Enemy: A Dynamic Model of Declining Political Commitment in State Socialism" *Theory and Society* 23: 253-296.

Victor Nee and Jimmy Sanders. 1992. "Problems of Verification in the Enclave Economy Debate: Comment on Portes and Jensen" *American Sociological Review* 57: 415-418.

Victor Nee. 1992. "Organizational Dynamics of Market Transition: Hybrid Property Forms and Mixed Economy in China." *Administrative Science Quarterly* 37: 1-27.

Victor Nee. 1992. "Forms of Capital in the Incorporation of Recent Immigrants." Pp. 24-37 in *In Defense of the Alien*, Lydia Tomasi, Ed., Staten Island: Center for Migration Studies.

Victor Nee. 1991. "Social Inequalities in Reforming State Socialism: Between Redistribution and Markets in China." *American Sociological Review* 56: 267-282.

Victor Nee and Frank Young. 1991. "Peasant Entrepreneurs in China's Second Economy: An Institutional Analysis" *Economic Development and Cultural Change* 39: 293-310.

Victor Nee. 1991. "Structural and Cultural Change: Patterns of Chinese and Japanese Immigration to America." *Shiso No Kagaku* [Journal of Science].

Victor Nee and Sijin Su. 1990. "Institutional Change and Economic Growth in China: The View from the Villages" *The Journal of Asian Studies* 49: 3-25.

Victor Nee. 1989. "A Theory of Market Transition: From Redistribution to Markets in State Socialism." *American Sociological Review* 54: 663-681.

Victor Nee. 1989. "Peasant Entrepreneurship and the Politics of Regulation in China" pp. 169-207 in *Remaking the Economic Institutions of Socialism*, Victor Nee and David Stark Eds. Stanford: Stanford University Press.

David Stark and Victor Nee. 1989. "Towards an Institutional Analysis of State Socialism" pp. 1 -31 in *Remaking the Economic Institutions of Socialism*, Victor Nee and David Stark, Eds., Stanford: Stanford University Press.

Revised version: *Stato e Mercato* (Firenze, Italy).

Jimmy Sanders and Victor Nee. 1987. "Limits of Ethnic Solidarity in the Enclave Economy" *American Sociological Review* 52: 745-767.

Jimmy Sanders and Victor Nee. 1987. "On Testing the Enclave Economy Hypothesis: Reply to Portes and Jensen." *American Sociological Review* 52: 771-773.

Victor Nee. 1986. "Peasant Household Economy and Decollectivization in China." *Journal of Asian and African Studies* 21: 185-203.

Victor Nee and Jimmy Sanders). 1984. "On the Road to Parity: Determinants of Asian American Socioeconomic Achievement." *Ethnic and Racial Studies* 8: 75-94.

Reprinted in Richard Alba ed., *Ethnicity and Race in the U.S.A.: Toward the Twenty-First Century* London: Routledge & Kegan Paul.

Victor Nee and Herbert Wong. 1985. "Asian American Socioeconomic Achievement: the Strength of Family Bonds." *Sociological Perspective* 8: 281-306.

Victor Nee. 1985. "An Assessment of Chinese Socialist Urbanism" *Contemporary Sociology* 14:447-450.

Victor Nee. 1984. "Peasant Household Individualism" pp. 164-192 in *Chinese Rural Development: the Great Transformation*, William Parish (ed.). (New York: M.E. Sharpe, 1984).

Reprinted in *International Journal of Sociology*, Vol. XIV No. 4.

Victor Nee. 1983. "Between Center and Locality: State, Militia, and Village" pp. 223-243 in *State and Society in Contemporary China*, Victor Nee and David Mozingo, Eds., Ithaca, NY: Cornell University Press.

Victor Nee and David Mozingo. 1983. "Introduction" Pp. 17-26 in *State and Society in Contemporary China*, edited by V. Nee and D. Mozingo. Ithaca, NY: Cornell University Press.

"Post Mao Change in a South China Production Brigade." *Bulletin of Concerned Asian Scholars* (April - June 32-39, 1983). Reprinted in *China from Mao to Deng*, Armonk, NY : ME Sharpe Press. 11

Victor Nee. "Decentralization, the Market, and Participation in Rural China." *Rural Development Participation Review* 2 (1983 Winter) 2-7.

Victor Nee. 1980. "Peasant Life in the People's Republic of China." *Cornell Review*. (Fall 1980): 11-17.

Victor Nee. 1979. "The Political and Social Bases of Four Modernization in China." *Columbia Journal of World Business* 14: 23-32.

Victor Nee. 1979. "Towards a Social Anthropology of the Chinese Revolution." *Bulletin of Concerned Asian Scholars* 2 (1979): 40-50.

Victor Nee. 1978. "Behind the Turmoil in China." *Working Papers Towards a New Society*. January-February: 88-93.

Reprinted in *Bulletin of Concerned Asian Scholars* Vol. 11 No. 4 (1979).

Victor Nee. 1975. "Revolution and Bureaucracy: Shanghai in the Cultural Revolution" pp. 322-414 in *China's Uninterrupted Revolution*, Victor Nee and James Peck, Eds. New York: Pantheon Book.

Victor Nee and James Peck. 1975. "Introduction: Why Uninterrupted Revolution?" Pp. 3-56 in *China's Uninterrupted Revolution*, edited by V. Nee and J. Peck. New York: Pantheon Books, 1975.

Brett de Bary and Victor Nee. 1972. "Peking interi wa nai o kangaete iru ka" [Social Role of Chinese Intellectuals], *Chuo Koron*. Tokyo, 1972.

Brett de Bary and Victor Nee. 1973. "Longtime Californ'" *Bulletin of Concerned Asian Scholars*. Vol. 4, No. 2.

Brett de Bary and Victor Nee. 1972. "The Kuomintang in Chinatown," (with Brett de Bary) *Bridge* 6: 20-24.

Reprinted in Emma Gee (ed.) *Counterpoint: Perspectives on Asian Americans*. Los Angeles: Asian American Studies Center, UCLA (1976).

Victor Nee. 1970. "Revolution Culterelle a l'Universite de Pekin." *Les Temps Modernes* 27 annee, No. 289- 290.

## BOOK REVIEWS AND SHORT ARTICLES

Interviews with Victor Nee. 2019. *Reflections on China's Economic Development and Social Transformation: Observations and Perspectives from an American Scholar*, edited by Wang and Liu. Peking, Peking University Press.

Review: *Inheriting the City: The Second Generation Comes of Age* (with Jennifer Holdaway, Philip Kasinitz, and John Mollenkopf), (Harvard University Press and Russell Sage Press, 2008). In *American Journal of Sociology*, 2010

"China in Transition." *Accounts* 7 (2008): 3-8.

Review (co-authored with Richard Alba): *America's Newcomers and the Dynamics of Diversity*, by Frank D. Bean and Gillian Stevens, (New York: Russell Sage Foundation) 309 pp. \$32.50, in *Contemporary Sociology*.

Review, *The Basic Features of Postcommunist Capitalism in Eastern Europe: Firms in Hungary, the Czech Republic, and Slovakia* by Lawrence Peter King, in *Journal of Comparative Economics*, 30 (2002): 223-224.

Review, *Legacies: The Story of the Immigrant Second Generation*, by Alejandro Portes and Ruben G. Rumbaut (Berkeley and Los Angeles: University of California Press and Russell Sage Foundation, 2003) in *American Journal of Sociology*, 2003

Review of *Calamity and Renewal in China: State, Rural Society and Institutional Change Since the Great Leap Forward*, by Dali Yang. *American Journal of Sociology*, 1997.

Review of *From the Soil: The Foundations of Chinese Society* (A translation of Fei Xiaotong's *Xiangtu Zhongguo*). Gary Hamilton and Wang Zeng (Berkeley: University of California Press, 1992), *Contemporary Sociology*.

Review of *Rational Choice Theory: Advocacy and Critique*, edited by James S. Coleman and Thomas J. E. Fararo. (Newbury Park: Sage Publication, 1992) in *American Journal of Sociology* 99:1087-1089.

"Ethnic Studies must not depart from Traditional Learning." *Stanford Daily* February 12, 1990.

"A Sociologist in China." *Arts & Sciences*. College of Arts & Sciences, Cornell University. Vol. 7, May 1986).

Review of *State and Peasant in Contemporary China* by Jean Oi, (Berkeley: University of California Press, 1989), *Contemporary Sociology*.

Review of *Agrarian Radicalism in China. 1968-1981*, by David Zweig (Cambridge, Mass.: Harvard University Press, 1989), *Journal of Asian Studies*.

"A Sociologist in China." *Arts & Sciences*. College of Arts & Sciences, Cornell

University. Vol. 7, May 1986).

Review of *Power and Morality in a Chinese Village*, by Richard Madsen (Berkeley: University of California Press, 1986), *American Journal of Sociology*. 1987.

Review of *Guests in the Dragon*. by Burton Pasternak. *Contemporary Sociology*, September, 1985.

Review of *Processes of the World-System*, edited by Terence Hopkins & Immanuel Wallerstein (Los Angeles: Sage Press, 1981) *Social Forces*, 1984.

Review of *Sojourners and Migrants: Chinese Migrants in Hawaii* by Clarence Glick. *American Journal of Sociology*. 1984

## **PROFESSIONAL CITIZENSHIP**

Organizer, “The American State in a Multipolar World” lecture series sponsored by the Center for the Study of Economy and Society.” [2021-2022: Francis Fukuyama, Joseph Nye Jr., Jeffrey Sachs, Andrew Bacevich, Theda Skocpol and Samuel Moyn.

Organizer, “Symposium on the Future of the Social Sciences IV,” sponsored by the Center for the Study of Economy and Society, convened at the Cornell Club NYC, October 26, 2018

Author-Meets-Critic session co-organizer (with Japonica, Brown-Saracino) 2017 Annual Meeting of the Eastern Sociological Society

Elected Member of the Executive Committee of the Eastern Sociological Society, 2016-2019.

Organizer, Regular Sessions in Economic Sociology, 2016 Annual Meeting of the American Sociological Association, Seattle, Washington.

Co-organizer, (with Sonja Opper) Survey Research and Lab-in-the-Field Workshop, Shanghai August 29 – September 1, 2015.

Co-organizer, (with Sonja Opper) Research Workshop, Department of Economics, Lund University, June, 2015.

Organizer, “Symposium on *Emergence of Organizations and Markets*, John Padgett”, sponsored by the Center for the Study of Economy and Society, March 19, 2015,

Organizer, “Conference on Rationality, Collective Action and Hidden Population,” sponsored Center for the Study of Economy and Society, the Department of Sociology and College of Arts & Sciences at Cornell, October 10, 2014.



Organizer, “Conference on the Future of the Social Sciences,” sponsored by the Center for the Study of Economy and Society, convened at the Cornell Club, October 3, 2014.

Organizer, “Research Workshop on Networks and Institutions,” New York City, October 26, 2013.

Organizer, “Lecture Series” sponsored by the Center for the Study of Economy and Society, Cornell University 2011 to present

Organizer, “Workshop on Networks and Innovative Activity,” Cornell Club, New York, February 17, 2012

Organizer, “Symposium on Institutional Change and Capitalism,” Shanghai, June 2010

Co-organizer with Rafael Wittek and Tom Snijders, “Conference on Rational Choice Social Research” convened at the Russell Sage Foundation, New York City, November 2007

Elected Chair, Rationality and Society Section, American Sociological Association, 2004

Member, National Science Foundation Sociology Review Panel, 2001 – 2003

Chair, Awards Committee for the Society for Comparative Research

Elected Council Member of the Economic Sociology Section of the American Sociological Association, 2002-2004.

Elected-at-Large Member of the Council of the American Sociological Association, 2001-2004.

Member, External Review Committee for American Studies at Brown University, February 2001.

Member, Nominations Committee, International Society of the New Institutional Economics, 1998-2000.

Chair, 2000-01, International Migration Section, American Sociological Association

Member, Study Group for the National Institutes of Health Center for Scientific Review Behavioral and Social Sciences, 1997-2001.

Member, Publications Committee in the Eastern Sociological Society, 1995-98.

Elected Council Member, International Migration Section of the American Sociological Association

Elected member, Nominations Committee of the American Sociological Association.

Co-organizer, Rationality and Rational Choice Seminar, Center for Advanced Study in

the Behavioral Sciences, 1996-1997.

Member, National Research Council Committee on the Health and Adjustment of Immigrant Children and Families, 1995-1997.

Organizer of the Thematic Session on "The Changing Role of Asian Americans" at the 1995 Annual Meeting of the American Sociological Association, Washington D.C.

Organizer of the "Workshop on New Institutionalism in Economic Sociology" sponsored and convened at the Russell Sage Foundation, May 5-6, 1995.

Organizer of the "Conference on New Institutionalism in Economic Sociology" sponsored by the Comparative Societal Analysis Program, October 7-9, 1994 at Cornell University.

Organizer and chair of panel on "Property Rights, Institutions, and Social Structure in China" at the 46th Annual Meeting of the Association of Asian Studies, March 24-27, 1994 in Boston.

Organizer of the "Workshop on the Great Transformation in South China and Taiwan: Markets, Organizer and chair of panel on "Property Rights, Institutions, and Social Structure in China" at the 46th Annual Meeting of the Association of Asian Studies, March 24-27 in Boston

Member of the National Academy of Science Working Group on Ethnicity and Immigration, 1989.

Organizer of Thematic Session on "From Exchange to Structure" and the Special Session on "The New Immigration." 84th Annual Meeting of the American Sociological Association August 9 to 13, 1989 at San Francisco.

Member of the Program Committee for the 1989 Annual Meetings of the American Sociological Association.

Organizer of Thematic Session on "Sociology and Warfare"; organizer and presider of Thematic Session on "Sociology and Social Policy." 83rd Annual Meetings of the American Sociological Association, Atlanta, August 1988.

Organizer for the panel on "Race, Immigration and Ethnicity: Competition and Conflict" and Organizer for the panel on "Race, Immigration and Ethnicity: Identity and Social Mobility" at the 82nd Annual Meetings of the American Sociological Association, August 17 at Chicago.

Member of the Program Committee for the 1988 Annual Meetings of the American Sociological Association.

Editorial Board, *Bulletin of Concerned Asian Scholars*, 1975-1989.<sup>[1]</sup> Member of the Program Committee for the New York Conference on Asian Studies, October 17 to 18,

1986, New Paltz, New York.

Member of the Committee on World Sociology, American Sociological Association, 1985 to 1988.

Organizer with David Stark of "The Conference on Social Consequences of Market Reforms in China and Eastern Europe" at the Casa de Maria Conference Center in Montecito California, May 8 to 11, 1986, Sponsored by the American Sociological Association and the University of California at Santa Barbara.

Chair, Committee on the Status of Racial and Ethnic Minorities, American Sociological Association, 1982 to 1984.

### **University Service at Cornell**

Director, Center for the Study of Economy and Society, 2021 - present

Member of Ad hoc Committee, College of Arts and Sciences, 2020

Member of the Dean's Advisory Committee, 2019

Member of Ad hoc Committee, College of Arts and Sciences, 2019.

Graduate Admissions Committee, Department of Sociology, 2019.

Sociology Colloquium co-organizer, Spring term, 2019.

Co-organizer (with Lisha Liu), "Symposium on Behavioral Experiments in the Natural Setting," sponsored by the Center for the Study of Economy and Society, October 14, 2016.

Organizer, Mini-symposium on "How do Mafias Organize," November 22, 2016.

Member, Graduate Admissions Committee, Department of Sociology, 2017

Chair, Senior Faculty Search Committee, Department of Sociology, 2014-15

Member, Graduate Admissions Committee, Department of Sociology, 2012-13

Organizer, on-going seminar series on "Institutions, Market Processes and the Firm," 2003 -

Member, Faculty Search Committee, Department of Sociology, 2011-12

Member, Graduate Admissions Committee, Department of Sociology, 2010-11

Chair, Graduate Admissions Committee, Department of Sociology, 2009-10 Chair,

Faculty Search Committee, Department of Sociology, 2008

Organizer mini-symposium “Ghetto, Hyper-Ghetto, Anti-Ghetto: Reconfigurations of Marginality in the Metropolis,” April 17, 2008, co-sponsored by the Center for the Study of Economy and Society, Society for the Humanities and Center for the Study of Inequality

Co-organizer (with Richard Swedberg), “Conference on Capitalism and Entrepreneurship, sponsored by the Center for the Study of Economy and Society, September 28-29, 2007.”

Co-organizer (with Richard Swedberg), “Conference on the 100th Anniversary of the *Protestant Ethic and the Spirit of Capitalism*” sponsored by the Center for the Study of Economy and Society and funded by the John Templeton Foundation, 2004.

Member, Social Science Advisory Council, 2003-04.

Organizer, “Symposium Comparing China and India’s Economic Miracle” sponsored by the Center for the Study of Economy and Society, Cornell University, January 30, 2004.

Organizer: “Economic Sociology of Development” for Conference on 100 Years of Development Economics, May 2004, Cornell University

Organizer, Working Group and Seminar Series on “Institutions, Networks and Economy” Fall and Spring 2003-04, sponsored by the Center for the Study of Economy and Society, Cornell University.

Co-chair (with Ravi Kanbur), Social Science Advisory Council 2002-03.

Organizer, “Inaugural Symposium” for the Center for the Study of Economy and Society, Cornell University, February 2003

Faculty sponsor, Messenger Lecture Series “Politics After September 11th” Francis Fukuyama, 2003

Co-organized, “Conference on Institutional Change in East Asia” April 2003 Co-organized, “Immigration and Economic Life Working Group” Fall Term 2002 Member, China Committee of the East Asia Program, 2002 –<sup>[L]</sup><sub>[SEP]</sub> Member, selection committee of the Graduate School for the Liu-Wu Award, 2003 Co-chair, Social Science Advisory Committee, 2002-03

Organizer, Conference on the Economic Sociology of Capitalism, sponsored by the Center for the Study of Economy and Society, September, 2001

Member Mellon Foundation Humanities Seminar, 2001-02

Member of the Executive Committee of the East Asia Program, 1997-98

Co-coordinator of the Institutions and Rationality Seminar Series, 1997-98

Director of Graduate Studies, Department of Sociology, 1995-96

Co-organizer (with Thomas Lyons) of the Conference on Economy and Society in South China, sponsored by the East Asia Program, October 1993 at Cornell University.

Chair, senior search committee in sociology, 1988, 1991, 1995 Member of the Provost's Human Resource Committee, 1993-4

Organizer for the "Conference on Entrepreneurship and Social Structure," sponsored by the East Asia Program, October 1992 at Cornell University.

Participant in the Merrill Presidential Scholar Program, May 19 to 21, 1992, Cornell University.

Organizer of the "Workshop on Explaining the Transitions from State Socialism," sponsored by the Comparative Societal Analysis and Global Transitions programs of the Einaudi Center for International Studies.

Organizer of the "Workshop on New Institutional Theory in the Social Sciences" sponsored by the Comparative Societal Analysis Program, Cornell University, November 8-10, 1991

Member of Executive Committee of the East Asia Program, 1988-1992. 17

Member of the Faculty Advisory Board of the Asian American Studies Program, 1987 to 1992

Member China Committee of the East Asia Program 1993 to present, Member Committee on Affirmative Action, College of Arts and Sciences, Chair, Sociology colloquium committee 1987 to 1990, Director of Undergraduate Studies for the Department of Sociology (1985 to 1987), Member of the Committee on Public Safety (1987 to 1989), Member China Exchange Committee, Cornell Graduate School, Member of East Asia Program Publication Committee 1987

Organizer of the "Workshop on State and Society in Contemporary China" sponsored by the Center for International Studies and the China-Japan Program at Cornell University, May, 1978.

University service at UCSB: Department of Sociology - Executive Committee (1980, 1983 to 1984); Director, Asian American Studies Program, UCSB, 1978-1982. Sociology Colloquium Committee (1982 to 1984).

Referee for journals: *American Journal of Sociology*, *American Sociological Review*, *Social Forces*, *Theory and Society*, *Rationality and Society*, *Administrative Science Quarterly*, *Social Science Research*, *European Sociological Review*, *Sociological Forum*, *Social Science Quarterly*, *International Migration Review*, *Sociology of Education*,

*Sociological Perspectives, Sociological Quarterly, Modern China, Journal of Asian Studies, Urban Life, International Organization, Human Organization, Journal of Women's Studies, Studies in Comparative International Development, University of California Press, University of Washington Press, Stanford University Press, Temple University Press.*

Reviewed: National Science Foundation (Sociology division), National Institute of Health (NICHD review panel), CSCPRC of the National Academy of Sciences, National Endowment for the Humanities, and the American Council of Learned Societies.

### **PAPERS PRESENTED AT PROFESSIONAL MEETINGS (2000-2019)**

Paper presented on second generation immigrant entrepreneurs in a regional -technology economy, October 8, 2020 Princeton University, Department of Sociology

Paper presented on immigration, opportunity and assimilation in the regional knowledge economy, October 2, 2020 CUNY Graduate Center

Paper presented on network morphogenesis and the emergence of a division of knowledge, 2019 Annual Meeting of the American Sociological Association in New York City.

Paper presented on political capital in China's market economy co-authored with Lisha Liu at the 2019 Annual Meeting of the American Sociological Association in New York City.

Paper presented on the emergence of division of knowledge in a regional technology cluster, 2019 Annual Meeting of the Eastern Sociological Society, Plaza Hotel, Boston, Massachusetts.

Paper on the emergence of a regional knowledge economy at the "Symposium on the Future of the Social Sciences IV" sponsored by the Center for the Study of Economy and Society, Cornell Club, NYC, October 26, 2018.

Presidential address at the 2018 Annual Meeting of the Eastern Sociological Society in Baltimore, Maryland.

Paper presented at a Special Session of IACMR-AIB, Dubai, United Arab Emirate, July 4, 2017.

Paper presented at the International Network of Analytical Sociology meeting, University of Oslo, Oslo Norway, June 6-8, 2017.

Paper presented on glass ceiling and top women executives at the 2017 Annual Meeting of the Eastern Sociological Society in Philadelphia, February 25.

Paper presented on the emergence of an innovation cluster at the 2016 Meeting of the American Sociological Association in Seattle, August 22.

Paper presented on relational exchange and trust at the 2016 Annual Meeting of the Academy of Management in Anaheim, August 12.

Paper presented on relational exchange and generalized trust at the Department of Strategy and Policy, August 24, 2015, National University of Singapore.

Paper presented at the Conference on Institutions and Corporate Governance, National University of Singapore, Business School, August 28, 2015.

Paper presented on endogenous institutional change at the 2015 Annual Meeting of the Academy of Management in Vancouver, Canada.

Paper presented on agent-based modeling and simulation with two case studies of endogenous institutional change at the International Network of Analytical Sociology, Harvard University, June 2015

Paper presented on institutional emergence at the Conference on the Future of the Social Sciences, Center for the Study of Economy and Society at the Cornell Club, October 3, 2014.

Keynote speech on institutions and economic change at the International Association of Chinese Management Research, on June 19, 2014, Beijing, China

Panel member with James Baron, Ronald Burt, and Ezra Zuckerman at the NYU Economic Sociology Workshop, Stern Business School, October 24, 2013

Paper presented on reputation and indirect reciprocity at the Annual Meeting of the American Sociological Association, New York, August 13, 2013

Paper presented on theory of endogenous institutional change at the Annual Meeting of the International Society for New Institutional Economics, Florence, June 2013

Authors-meet-Critics Session at the Annual Meeting of the Society for the Advancement of Socio-Economics, Milano, June 2013

Paper presented at the “Conference on Embeddedness and Beyond” at the Higher School of Economics, Moscow, October 2012.

Paper presented on reputation in networks at the Conference on Corporate Responsibility, Merton College, Oxford University, September 2012

Paper presented on entrepreneurship in China at the Annual Meeting of the International Society for New Institutional Economics, October, 2011

Paper presented on field experiments on uncertainty at the seminar series on “Institutions,

Market Processes and the Firm,” Center for the Study of Economy and Society, Cornell University, April, 2011

Paper presented on economic development during global economic crisis at the Eastern Sociological Society, Boston, MA, March, 2010

Paper presented on endogenous institutional change at the Shanghai Forum, June 2010

Paper presented on the symposium on “Capitalism from Below,” Shanghai, China, June, 2010

Paper presented on capitalist economic development at the seminar series on “Institutions, Market Processes and the Firm,” Center for the Study of Economy and Society, Cornell University, September, 2010

Paper presented on endogenous institutional change at the “Conference on 20 Years After” sponsored by the University of California at Irvine, Laguna Beach, December, 2009

Paper presented on economic sociology of innovation at the “Conference on Manufactured Markets: Legal, Economic and Political Dimensions”, sponsored by the University of Paris at the Villa Finaly in Florence, Italy, June 10-14, 2009

Paper presented on market structure and fungibility of political capital presented at the Annual Meeting of the International Society for New Institutional Economics, Haas School of Business, June 19, 2009, University of California at Berkeley.

Keynote speech at the “Thirtieth Anniversary Conference of Chinese Sociology” sponsored by the Chinese Sociological Association and Fudan University, December 11, 2008

Paper on market transition and political capital (with Sonja Oppen) presented at the Annual Meeting of the European Economics Association, Milan, August 2008.

Paper on political connections and market structure (with Sonja Oppen) presented at the Annual Meeting of the Academy of Management Science, Anaheim, August 2008.

Paper extending Schumpeter’s theory of innovation (with Jeong-han Kang and Sonja Oppen) presented at the Conference on Capitalism and Entrepreneurship, September 28-29, 2007

Paper on financial markets presented at the Oliver Williamson Seminar Series, Haas School of Business, November 1, 2007, University of California at Berkeley.

Paper on a theory of assimilation presented at the Conference on Rational Choice Social Research, November 4-5, 2007 at the Russell Sage Foundation, New York City.

“Author Meets Critic” on Avner Greif’s *Institutions and the Making of the Modern*



*Economy* (Cambridge: Cambridge University Press, 2006; Society for the Advancement of Socio-Economics, Copenhagen June 2007.

Paper on theory of innovation presented at the Annual Meetings of the International Society for New Institutional Economics, Reykavik, Iceland, June 2007.

Paper on rent-seeking and social structure of markets presented at the Conference on the Emergence of Social Organization, University of Chicago Business School, November 7, 2007.

Paper presented on immigration and assimilation at the University of Groningen, Department of Sociology, January, 2006

Paper on social capital and finance presented at Purdue University, Department of Sociology, March 2006.

“Author Meets Critic” Annual Meeting of the Eastern Sociological Society, Boston MA March 2006

Paper on bureaucracy and financial markets presented at the Annual Meeting of the American Sociological Association, Montreal, August 2006

Paper on determinants of financial market development in 56 countries presented at the Annual Meeting of the American Economic Association, Chicago, January 2006

Paper presented on corporate governance at the Annual Meeting of the American Sociological Association, Philadelphia, August 2005.

Paper presented on bureaucratic performance and corporate governance at the “Conference on the Future of the Social Sciences,” October, 2005, Cornell Club, New York City

Paper presented on state intervention and firm performance at the Center for the Study of Private Enterprise, School of Economics, Zhejiang University, October 2005.

Paper presented on global corporate governance at the Shanghai Academy of Social Sciences, November 2005

“Institutional Ecology of Modern Wealth Making,” Paper presented at “New Directions in Wealth Research” organized by Richard Swedberg, co-sponsored by the Center for the Study of Economy and Society and Center for the Study of Inequality

“Institutional Change, the Firm and Income Inequality in Urban China,” (with Yang Cao). International Association on Chinese Management and Organizations, Beijing, June 2004.

“A Place for Hybrid Methodologies.” Paper prepared for presentation at the Conference on Qualitative Methods in the Social Sciences sponsored by the Sociology Program of

the National Science Foundation, Arlington, Virginia, July 2004.

“Consequences of Political Interventions in Firms Listed on the Shanghai Stock Exchange” Annual Meeting of the International Society for New Institutional Economics, Budapest, Hungary October 2003.

“New Institutionalism in Economic Sociology”, Rational Choice Mini-Conference, Annual Meeting of the American Sociological Association, Atlanta, August 2003.

“Post-Communist Inequality: The Problem of Continuity and Discontinuity.” with Yang Cao Paper presented at the Annual Meeting of the American Sociological Association, Chicago, August 2002

“North’s Theory and the Organizational Dynamics of Institutional Change.” The Conference on the Economic Sociology of Capitalism, Cornell University, September 28-29, 2001.

“Market Transition in Post-Communist Societies.” Thematic Session. Annual Meeting of the American Sociological Association, August 19, 2001, Anaheim California.

“Social Mechanisms of Assimilation: Immigration and the Second Generation.” Annual Meeting of the American Sociological Association, August 18, 2001, Anaheim California.

“Non-wage Benefit in the People’s Republic of China: An Organizational Analysis.” (with Rebecca Matthews and Yang Cao). Annual Meeting of the American Sociological Association, August 18, 2001, Anaheim California.

“Why Institutions Matter: Segmented Assimilation and Beyond.” Paper presented at the conference on “Host Societies and the Reception of Immigrants” sponsored by the Weatherhead Center for International Affairs, Harvard University, May 10-12, 2001.

“Institutional Change, Path Dependence and Emergent Social Order: The Rational Peasant in China” (with Lisa Keister). Paper presented at the third annual meetings of the International Society of the New Institutional Economics, Tubingen, Germany, September, 2000.

“Comment” for the panel on the “New Institutionalism in the Social Sciences,” Rational Choice Section, Annual Meeting of the American Sociological Association, Washington D.C., August, 2000.

“Social Inequalities in an Era of Economic Reform” (with Lisa Keister). Paper presented at the Annual Meeting of the American Sociological Association, Washington D.C., August, 2000.

## **Invited Lectures, 1995-2019**

Presidential Panel on “United States and China: A Contested Relations” where I presented a paper entitled “A Comparative Institutional Analysis” at the 2020 annual meeting of the Eastern Sociological Society, Philadelphia, February 29, 2020.

Keynote address at the Conference on the 40<sup>th</sup> Anniversary of China’s Economic Reform sponsored by the School of Sociology at Renmin University, August 28-29, 2019 in Beijing, China.

Presidential Address, 2018 Annual Meeting of the Eastern Sociological Society, Hyatt Regency Inner Harbor Hotel, Baltimore, MD.

Academy of International Business, Dubai, UAE, 2017

Russell Sage Foundation, 2015

Princeton University, Center for the Study of Social Organization, 2015

Princeton University, Department of Sociology, 2015

Keynote speech, International Association of Chinese Management Research, Beijing June 2014.

Shanghai Academy of Social Sciences, 2014

University of Pennsylvania, Center for Chinese Studies, 2013

Yale University, Councils of European Studies and East Asian Studies, 2013

New York University, Stern School of Business, 2013

Lund University, School of Economics and Management, 2013

University of Michigan, Center for Chinese Studies, 2013

The Higher School of Economics, Moscow, 2012

University of North Carolina, Chapel Hill, Department of Sociology, 2012

Duke University, Department of Sociology, 2012

Cornell University, Olin Library, 2012

New York University, Abu Dhabi, Social Science Division, 2012

Lund University, School of Economics, 2011

New York University, Stern School of Business, 2011

University of Chicago, Booth School of Business, 2011

Harvard University, School of Business, 2011

Chuo University, Department of Sociology, 2011

Chinese Academy of Social Sciences, Beijing, China, January, 2011

Tsinghua University, “Mingde Lecture”, School of Public Policy, 2010

Tsinghua University, Department of Sociology, 2010

Fudan University, Department of Sociology, 2010

Italian Sociological Association, section in economic sociology, Sardegna, Italy 2009

University of Bamberg, Germany, Department of Sociology 2009<sup>[L][SEP]</sup>

New School for Social Research, Sociological Imagination Seminar Series 2009

Johns Hopkins University, Department of Sociology 2009

Fudan University, Department of Sociology 2008<sup>[L][SEP]</sup>

Shanghai Academy of Social Sciences, Institute of Sociology 2007

University of California, Berkeley, Haas School of Business 2007

University of Groningen, Department of Sociology 2006<sup>[L][SEP]</sup>

Purdue University, Department of Sociology 2006<sup>[L][SEP]</sup>

Zhejiang University, School of Economics 2006<sup>[L][SEP]</sup>

University of Groningen, ICS, February 2005<sup>[L][SEP]</sup> Beijing University, Department of Sociology 2005<sup>[L][SEP]</sup>

New York University, Stern School of Business 2004<sup>[L][SEP]</sup>

Columbia University, School of Business, March 2003<sup>[L][SEP]</sup>

Princeton University, Department of Sociology, February 2003

Yale University, Department of Sociology, October 2002

Harvard University, Fairbank Center for East Asian Research, May 2001. Harvard University, Department of Sociology, April 2001.

Yale University, Center for Comparative Social Research and Department of Sociology,

March 2001.

University of California at Los Angeles, Comparative Social Analysis Seminar Series (1998)

University of Michigan, Seminar on Institutions, Department of Political Science (1997)  
University of Pennsylvania, Sawyer Seminar Series, Department of Sociology (1997)

University of California at Berkeley, Haas Business School, Workshop on Comparative Institutional Analysis (1997)

University of California at Los Angeles, Department of Sociology (1997)

University of Michigan, Department of Sociology and Center for Chinese Studies (1996)

Columbia University, Department of Sociology (1996)

Princeton University, Department of Sociology, Economic Sociology Colloquium Series (1995)

University of South Carolina, Bruce Mayhew, Jr. Memorial Lecture Series (1995) New York University, Department of Sociology, Methods Seminar Series (1995)

University of California at Los Angeles, Department of Sociology, Comparative Societal Analysis Seminar Series (1995)

## **TEACHING AT CORNELL, UNIVERSITY, 2010-20**

### *Graduate Courses:*

Basic Problems in Sociology

Seminar on Economic Sociology

Economy and Society Seminar

New Institutionalism in Sociology

### *Undergraduate Courses:*

Economy and Society Seminar

Introduction to Economic Sociology

Economic Sociology of Entrepreneurship

**Graduate Students, Cornell University 1994-2020:**

*(as dissertation committee chair, or minor member working closely with student)*

Soul Han. (chair).

Seokyoung Kim. (chair).

Wesley Stubenbord. (chair).

Lisha Liu (chair, 2021; Assistant Professor of Organization, Antai School of Business, Jiaotong University, Shanghai)

Mario Molina. 2019. (co-chair). Post-doctoral Fellow, New York University, Abu Dhabi

Jans Ludwig Spieker (minor)

Maria de Lourdes Ramirez-Flores (co-chair)

Michael Siemon. 2018. (chair). Data Scientist, Albeado.com, Santa Clara, California

Lucas Drouhot. 2018. (chair) Assistant Professor of Sociology, University of Utrecht, the Netherlands

Yujin Oh Ph.d. 2017. (chair). Assistant Dean of Advising, College of Arts and Sciences, Cornell University

Hilary Holbrow Ph.D. 2017. (chair). Assistant Professor of Sociology of Japan. Indiana University, Bloomington, IN.

Daniel Della Posta Ph.D. 2017. Assistant Professor of Sociology, Penn State University (co-chair).

Scott Golder, Ph.D. 2017, (minor). Senior Manager, Data Scientist at Capital One.

Shuo Zhang. Ph.D. 2016. (chair), Associate Professor, Central University of Finance and Economics, Beijing, China

Christopher Yenkey, Ph.D., 2011, (minor). Assistant Professor of Organizational Behavior, Booth School of Business, University of Chicago; now, Assistant Professor, University of South Carolina, Business School.

Li Ma, Ph.D., 2010, (chair). Assistant Professor of Sociology, Shanghai, Tongji University

Yujun Wang, Ph.D., 2010: Associate Professor of Sociology, Renmin University, Beijing

(chair)

Mark Dodd Jacobs, Ph.D., 2009, (chair). Assistant Professor, Business School, Renmin University, Beijing

Douglas Savitsky, Lecturer, Department of Economics, University of Connecticut, Ph.D., 2009 (chair)

John Calvin Scott, Ph.D. 2008 (chair). Assistant Professor, School of Public Health, University of North Carolina, Chapel Hill; now Director at the Pew Charitable Trusts in Severna Park, Maryland.

Arnout van de Rijt, Ph.D. 2007; (minor). Professor, Department of Sociology, University of Utrecht, Netherlands

Ko Kuwabara, Ph.D. 2007 (minor). Associate Professor, Business School, Columbia University

Zhilin Liu, Ph.D. 2007 (co-chair). Full Professor, School of Public Policy, Tsinghua University, Beijing,

Tomas Larsson, Ph.D. 2007 (minor). Fellow of St. John's College and Lecturer in the Department of Politics and International Studies, Cambridge University

Zun Tang, Ph.D. 2007 (chair). Director of International Research, CUNY.

Erik Volz, Ph.D. 2007 (minor). Research Assistant Professor, Department of Epidemiology, University of Michigan; now, Lecturer, Population Biology and Infectious Diseases, Imperial College in London.

Paul M.L. Lee, Ph.D. 2007 (chair). Norris A. Alden Chair of Business and Associate Professor of Business, Wheaton College,

Wubiao Zhou, Ph.D. 2006 (chair). Associate Professor of Sociology, Chinese University of Hong Kong.

Elaine Howard Ecklund, Ph.D. 2004 (chair). Autrey Professor of Sociology and Director of Religion in Public Life Program, Rice University

Marianna A. Klochko, , Ph.D. 2004, (minor) Professor of Sociology, Ohio State University, Marion.

Eunyun Park, Ph.D. 2003 (chair). Consultant, Boston.

Pawan Dhingra, Ph.D. 2002 (chair). Professor of Sociology at Tufts University.

Yang Cao, Ph.D. 2000 (chair). Professor of Sociology, Department of Sociology, University of North Carolina, Charlotte, and Professor & Chair of the Department of Sociology, Zhejiang University.

Jayati Lal, Ph.D. 1998 (chair). Associate Professor of Sociology, Ambedkar University New Delhi.

Rebecca C. Matthews, Ph.D. 1998 (chair). Research Analyst. U.S. Census Bureau,

Lisa A. Keister, Ph.D. 1997 (chair). Professor, Department of Sociology, Duke University,

David Dornisch, Ph.D. 1997 (chair). Senior Social Science Analyst, U.S. Government Accountability Office,

James Elliott Mason, Ph.D. 1996 (chair). Investment Banker,

Banoo J. Parpia, Ph.D. 1995 (chair). Director of the International Development Office, Cornell University,

Olympia Kaminioti, Ph.D. 1994 (chair). Director Labor Market Projection Department, Athens, Greece,

Scott Wilson, Ph.D. 1994 (minor). Professor of Politics, University of the South.

Paul Ingram, Ph.D. 1994 (co-chair). Professor, Business School, Columbia University

David Sijin Su, Ph.D. 1994 (chair). Shanghai, International Vice President (American cheese manufacturer)

Yanmin (Andy) Gu, Ph.D. 1992. Vice-President, Midea Corporation.

Scott Sernau, Ph.D. 1991. Professor of Sociology and Anthropology at the Indiana University South Bend.