

## **FIMF**

## **VITA**

### **ROBERT HINRICHS BATES**

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#### **EDUCATION**

Pomfret School, Pomfret, Connecticut, 1957-1960  
B.A., Haverford College, 1964  
Ph.D., Massachusetts Institute of Technology, 1969

#### **AWARDS AND FELLOWSHIPS**

Haverford College: Phi Beta Kappa, Summa Cum Laude, Kurzman Prize in Political Science, Founder's Club.

Woodrow Wilson Fellowship, 1964-65.

National Defense Education Fellowship, foreign area training, 1965-66.

Foreign Area Fellowship, Africa Program, 1966-68: six months training in Bemba and social anthropology at the School of Oriental and African Studies, University of London, and in social anthropology at Manchester University, plus fifteen months field work in Zambia in preparation for doctoral dissertation; further award for nine months write-up period, 1968-69.

Grant, Social Science Research Council, 1970-71: twelve months support to compile district level data on development indicators in Zambia.

Grant, National Institutes of Health and Mellon Fund, Caltech, 1971-72: fourteen months research in Zambia.

Grant, Social Science Research Council, 1976-77: career development--twelve months work in economics at Stanford University.

Grant, National Science Foundation, 1978-80: research on the political economy of Africa.

Grant, Social Science Research Council, 1982: for field research in Kenya.

Fellowship, Haynes Foundation, May - August 1985.

Fellowship, John Simon Guggenheim Memorial Foundation, 1985-86.

Fellow, Center for Advanced Studies in the Behavioral Sciences, 1985-86; 1993-1994.

Grant, National Science Foundation, 1989-95: to study the political economy of international trade.

Grant, National Science Foundation, 1997-98: to gather data on African political and economic reform.

Grant, Social Science Research Council and Ford Foundation, 1990: to compile a volume on Africa and the disciplines.

Fellowship, Bellagio Conference Center, Rockefeller Foundation, 1990; 2005

John M. Olin, Visiting Research Professor, Graduate School of Business, Stanford University, January-July 1991.

Institutional Grants for Program in Political Economy: Henry R. Luce Foundation, Ford Foundation, Josiah Charles Trent Foundation, John M. Olin Foundation, Pew Charitable Trusts.

Phillips Lecturer, Haverford College, 1992; 50th Anniversary, Distinguished Lecturer, Institute for Social Research, University of Michigan, 1999; Patten Lecture, University of Indiana, 2000; Inaugural Ralph Bunche Lecture, UCLA, 2001.

United States Institute for Peace, 1997-1999: To gather data on political violence in Africa.

Carnegie Corporation, Carnegie Scholar, 2001-2002.

Moore Distinguished Scholar, Caltech, 1004.

Written up in : 2002 Glenn Utter and Charles Lockhart, eds.  
*American Political Scientists* (second edition) (Westport, CT: Greenwood Publishing Group).

## **APPOINTMENTS HELD**

Intern, Department of State, International Organizations, United Nations, Political Affairs, Summer 1963

Legislative Assistant to Congressman William L. St. Onge (D., Conn.), Summer 1964

Research Assistant to Professor Lincoln Bloomfield, Department of Political Science, MIT, Summer 1966

Postdoctoral Fellow, Center for International Studies, MIT, May - July 1969

Visiting Fellow: Institute for Development Studies, University of Nairobi, 1983 - 1985; Institute of Development Studies, University of Sussex 1985; Center for Advanced Studies in the Behavioral Sciences, Stanford, 1985-86 and 1993-94; the World Bank, 1998

Assistant Professor of Political Science, California Institute of Technology, 1969-1975; Associate Professor of Political Science, California Institute of Technology, 1975 - 1979; Professor of Political Science, California Institute of Technology, 1979 - 1985

Henry R. Luce Professor of Political Science and Economics, Duke University, 1985-1993

Director, Center in Political Economy, Duke University, 1985-1993

Professor of Government, Harvard University, 1993--; Eaton Professor of the Science of Government, 1995 --; Faculty Research Fellow, Center for International development, 1993--;

Visiting Scholar, Research Department, the World Bank. Resource Person, AERC, Nairobi, 1997--.

Professeur associe, School of Economics, University of Toulouse, 2000 –

## **FIELD EXPERIENCE**

1968-69: fifteen months research in the urban communities of the copperbelt in Zambia, studying the political party and the mineworker's union

1971-72: fourteen months research in the rural areas of Zambia, studying the politics and economics of rural development programs in

village communities  
1977: four weeks consultancy in the Sudan  
1978: two months research in West and Eastern Africa  
1981: three months in Uganda and Kenya  
1982: six weeks research in Uganda  
1983-84: six months research in Kenya  
1988: four weeks research in Zambia  
1989: eight weeks research in Colombia  
1990: five weeks research in Zambia  
1991: eight weeks research in Colombia  
1992: six week's research in Colombia  
1993: six week's research in Brazil  
2000: 6 weeks, Ghana and Tanzania  
2001: 6 weeks, Rwanda and Kenya

## **OTHER PROFESSIONAL ACTIVITIES**

### Editorial Positions:

Series editor, with Samuel L. Popkin and James Coleman, California Series on Social Choice and Political Economy, 1982-1993; Member of the Board, International Political Economy Year Book, 1985-1987; Cambridge University Press, Series on Comparative Politics, 1996 --; Series on Institutions, 2004--; *Cambridge Economic Survey of Africa*, 1997--; Editorial Board, International Organizations, 1986 -1992; 1994 - 1998; Politics and Economics, 1988-1993; Journal of International Development, 1988 -; Canadian Journal of African Studies, 1989-1992; International Studies Quarterly, 1989 -; Journal of African Economies, 1991-; Política y Gobierno, 1994 -; The Journal of Policy Reform, 1994 - ; Journal of Chinese Political Science, 1995 -

### Disciplinary Screening Committees:

Fulbright Senior Scholars Award Committee, 1983 - 1986; Political Science Panel, National Science Foundation, 1983 - 1985; Social Science Research Council, International Pre-dissertation Fellowship Program Committee, 1990 --; National Science Foundation, Oversight Committee, Political Science Program, 1990.

### Associations:

American Political Science Association: Gabriel A. Almond Award Committee (Chair), 1985-86; Program Committee, 1987; Member of the Council, 1986-1989; Vice President, 1989-1990; Program Committee, 1991; Executive Committees, Comparative Politics Section, Political Economy Section, 1990-92; Nominating Committee, 1991-93;

President Elect, Comparative Politics Section, 1994-1995; President, Comparative Politics Section, 1995-1997.

African Studies Association: Member, Board of Directors, 1994-1996

Departmental Review Committees:

University of Minnesota, 1989; Yale University, 1990; Michigan State University, 1996; Columbia University 1996. ; University of Colorado, 2002; Africa Studies Center, UCLA, 2003

Member: College of Fellows, Institute for Development Anthropology; Board of Directors, Society in Economic Anthropology, 1985 - 1987 ; Board of Trustees, Vivian Webb School, 1983-84; Board of Governors, Vivian Webb School, 1984-88 ; American Academy of Arts and Sciences; American Political Science Association; Society for Economic Anthropology; Public Choice Society; African Studies Association.

Consultant: USAID, World Bank, Department of State, CEPAL, State Failure Task Force.

Other: External Examiner, Swarthmore College, 1991, Haverford College, 1992; Member, Panel on Institutions, National Academy of Sciences, 1986; Committee on Programs and Policy, Social Science Research Council, 1991-; Steering Committee, SSRC-Ford Foundation, International Pre-Dissertation Fellowship Committee, 1990-; Board and Policy and Program Committee, Social Science Research Council, 1992 -- 1997; Executive Committee, Social Science Research Council, 1994- 1996 and Treasurer, 1995-1996; Member, United States Government, State Failure Task Force 1998-; World Bank, Project Steering Committee, World Development Report, 1998-1999; Terrorism Panel of the APSA, 2004-;

## **BOOKS AND EDITED COLLECTIONS**

Unions, Parties, and Political Development: A Study of Mineworkers in Zambia. New Haven: Yale University Press, 1971.

Rural Responses to Industrialization: A Study of Village Zambia. New Haven: Yale University Press, 1976.

Agricultural Development in Africa: Issues of Public Policy, co-editor Michael F. Lofchie. New York: Praeger Publications, Special Studies on International Development, 1980.

States and Markets in Tropical Africa: The Political Basis of Agricultural Policy. Berkeley: University of California Press, Series on Social Choice and Political Economy, 1981. Paperback edition, 1984. 2nd Edition, 2005. Chinese addition, 2001.

Essays on the Political Economy of Rural Africa. Cambridge: Cambridge University Press, 1983. Paperback edition, Berkeley: University of California Press, Series on Social Choice and Political Economy, 1987.

Toward A Political Economy of Development: A Rational Choice Perspective, editor and co-author. Berkeley: University of California Press, Series in Social Choice and Political Economy, 1988.

Special issue of Politics and Society, edited with Margaret Levi, vol 16, nos. 2-3 (June-September 1988).

Beyond the Miracle of the Market: The Political Economy of Agrarian Development in Kenya. Cambridge: Cambridge University Press, 1989. Paperback edition, 1992. 2nd Edition, 2005.

Political and Economic Interactions in Economic Policy Reform. co-authored and co-edited with Anne O. Krueger. Oxford: Basil Blackwell, 1993.

Africa and the Disciplines: The Contribution of African Studies to the Humanities and Social Sciences, editor with Jean O'Barr and V. S. Mudimbe. Chicago: University of Chicago Press, 1993.

Open Economy Politics: The Politics and Economics of the International Coffee Market. Princeton University Press, 1997.

Analytic Narratives, with Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry Weingast. Princeton: Princeton University Press, 1999.

Prosperity and Violence, W.W. Norton, 2001.

Cambridge Economic Survey of Africa, 3 vols., co-editor and co-author. Cambridge: Cambridge University Press, forthcoming.

## **OTHER PUBLICATIONS**

### **1967-1969**

"The Angola Rebellion" and "The Algerian-Morocco Conflict." Chapters in The Control of Conflict: A Design Study on Arms Control and Limited War in the Developing Areas, edited by Amelia C. Leiss and Lincoln P. Bloomfield. Cambridge: Center for International Studies, MIT, 1967.

"Voluntary Associations, Social Change and Politics." Monograph published by the Center for International Studies, MIT, 1969.

### **1970-1975**

"A Simulation Study of International Conflict in Southern Africa." African Studies Review (September 1970).

"Approaches to the Study of Ethnicity." Cahiers d'Etudes Africaines 10, 40 (1970).

"Approaches to the Study of Labor and Development." Industrial Relations: A Journal of Economy and Society (October 1970).

"Input Functions, Out Functions, Systems Capacity -- A Study of the Mineworkers Union of Zambia." Journal of Politics (November 1970).

"Trade Union Membership on the Copperbelt of Zambia: A Test of Some Hypotheses." Economic Development and Cultural Change (January 1972).

"Ethnicity in Contemporary Africa." Monograph published by the Program of Eastern African Studies, Syracuse University, 1973. Revised version published as "Ethnic Competition and Modernization in Contemporary Africa." Comparative Political Studies (January 1974). Subsequently republished as "Modernization, Ethnic Competition, and the Rationality of Politics in Africa" in Ethnic Conflict in Africa, edited by Donald Rothchild and Victor Olorunsola. Boulder, CO: Westview Press, 1982. Again reprinted in Governing Black Africa, edited by Marion E. Doro and Newell M. Schultz. New York and London: Africana Publishing Company, 1986.

"Determinants of the Rural Exodus in Zambia: A Study of Inter-Censal Migration, 1963-1969." Cahiers d' Etudes Africaines (December 1974).

"Patterns of Uneven Development in Zambia: Causes and Consequences." Monograph published by the Graduate School of International Studies, University of Denver, 1974.

"Rural Development in Kasumpa Village." Journal of African Studies (Fall 1975).

### **1976-1979**

"Politicians in Uniform, A Comment," co-author Barry Ames. American Political Science Review (September 1977).

"The Issue Basis of Rural Politics in Africa." Comparative Politics (April 1978).

"People in Villages: Micro-level Studies in Political Economy." World Politics (October 1978).

"The Preservation of Order in Stateless Societies: A Reinterpretation of Evans-Pritchard's, The Nuer." Accepted by Southwestern Journal of Anthropology but withdrawn. Frontiers of Economics (1979).

"The Roots of Rural Poverty." African Economic History 8 (Fall 1979).

"The Commercialization of Agriculture and the Rise of Rural Political Protest in Black Africa." In Food, Politics and Agricultural Development, edited by Raymond Hopkins, Donald Puchala and Ross Talbot. Boulder, CO: Westview Press, 1979.

### **1980-1985**

"Pressure Groups, Public Policy, and Agricultural Development, A Study of Divergent Outcomes." In Agricultural Development in Africa: Issues of Public Policy, edited by Robert H. Bates and Michael F. Lofchie. New York: Praeger Publications, 1980.

"Agriculture in Development: A Coalitional Analysis," co-author William P. Rogerson. Public Choice (December 1980).

"Black Mineworkers in Central Africa." African Economic History 9 (1980).

"States and Market Intervention: A Case Study from Africa." Presented as the NSF Conference on Economic and Political Development. Social Science Working Paper No. 345, California Institute of Technology, September 1980.

"Food Policy in Africa: Political Origins and Social Consequences." Food Policy (August 1981). Reprinted as "Nahrungsmittelpolitik" in Internationale Entwicklung, 1981.

"Public Choice Processes." In Public Choice and Rural Development, edited by Clifford Russell. Washington, D.C.: Resources for the Future, 1981.

"The Centralization of African Tribal Societies." Social Science Working Paper No. 400, California Institute of Technology, September 1981.

"Some Conventional Orthodoxies in the Study of Agrarian Change," Social Science Working Paper No. 458, California Institute of Technology, December 1982. Heavily edited version in World Politics (January 1984). Reprinted in The State and Development in the Third World, edited by Atul Kohli. Princeton: Princeton University Press, 1986.

"A Note on Patterns of Market Intervention in Agrarian Africa," Food Policy (November 1983). Reprinted in World Food Marketing Systems, edited by Erdener Kaynak. London: Butterworth Scientific Publishers, 1986.

"Some Core Assumptions in Development Economics." In Economic Anthropology: Topics and Theories, Monographs in Economic Anthropology, No. 1, edited by Sutti Ortiz. New York: University Press of America, 1983.

"Models of Policy Formation." In The Role of Markets in the World Food Economy, edited by D. Gale Johnson and G. Edward Schuh. Boulder, Colorado: Westview Press, 1983. Reprinted in Development Studies: A Reader, ed. Stuart Corbridge (London: Edward Arnold, 1995).

"The Hungry Continent." Washington Post (24 February 1984).

"On the Operations of the International Coffee Agreement," with Da Hsiang Donald Lien. International Organizations 39, 5 (Summer 1985).

"A Note on Taxation, Development, and Representative Government," with Da Hsiang Donald Lien, Politics and Society 14, 1 (1985).

### **1986 - 1989**

"The Political Framework for Pricing Decisions." In Food Policy: Frameworks for Analysis and Action, edited by Charles Mann and Barbara Huddleston. Bloomington: Indiana University Press, 1986. Reprinted in John Staatz and Carl K. Eicher, Agricultural Development in The Third World Baltimore: Johns Hopkins University Press, 1990; 2nd ed., 1994, 3rd ed. 1997 .

"The Politics of Agricultural Policy -- A Reply," IDS Bulletin 17, 1 (January 1986).

"The Regulation of Rural Markets in Africa." In Africa's Agrarian Crisis, edited by Stephen K. Commins, Michael F. Lofchie, and Rhys Payne. Boulder, Colorado: Lynne Reiner, Publishers, 1986.

"Agrarian Politics," in Understanding Political Development, edited by Myron Weiner and Samuel P. Huntington. Boston: Little, Brown, 1987.

"The Agrarian Origins of Mau Mau: A Structural Account," Agricultural History 61 (Winter 1987).

"Political Power in the International Coffee Organization," with Da Hsiang Donald Lien, Economic Development and Cultural Change 35 (April 1987).

"The Politics of Agricultural Pricing in Sub-Saharan Africa," in The African State in Transition, edited by Zaki Ergas. New York: Macmillan Press, 1987. Originally

published as "The Regulation of Rural Markets in Africa," USAID Evaluation Special Study No. 14, June 1983. Republished as "Staatsinterventionismus und Verfall der Landwirtschaftlichen Productivität in Schwarzafrika: Eine Untersuchung am Beispiel der Preisbildungspolitik," Stiftung für Wissenschaft und Politik, Forschungstitut für Internationale Politik und Sicherheit, SWP, 1987.

"The Politics of Drought in Kenya," in The Political Economy of Kenya, edited by Michael Schatzberg. New York: Praeger, 1987.

"Shelter from Domestic Competition," in Industrial Adjustment in Sub-Saharan Africa, edited by William Steel and Gerald Meier. Washington, D.C.: The World Bank, 1988.

"From Drought to Famine in Kenya," in Satisfying Africa's Food Needs, edited by Ronald Cohen. Boulder, Colorado: Lynne Reiner, 1988.

"Disaggregating the Political," States and Social Structures Newsletter, No. 6 (Winter, 1988).

"Lessons from History," World Politics Vol. 40 No. 4 (July 1988).

"The Informal Sector," in Beyond the Informal Sector, ed. Jerry Jenkins San Francisco: ICS Press, 1988.

"Anthropology and Development: A Note on the Structure of the Field," in Production and Autonomy, edited by John W. Bennett and John Bowen New York: University Press of America, 1988.

"The Political Basis for Agricultural Policy Reform," in Africa's Development Challenges and the World Bank: Hard Questions, Costly Choices, edited by Stephen K. Commins. Boulder, Colorado: Lynne Reiner Publishers, 1988.

"Contra Contractarianism: Some Reflections on the New Institutionalism," Politics and Society 16, 2-3 (June-September 1988).

"A Political Scientist Looks at Tax Reform," in Tax Reform in Developing Countries, Malcolm Gillis, ed. Durham, NC: Duke University Press, 1989.

"The Reality of Structural Adjustment: A Skeptical Appraisal," in Structural Adjustment and Agriculture, edited by Simon Commander. London: Overseas Development Institute in collaboration with James Curry, 1989.

"The End of Liberalism?" National Review, December 31, 1989.

"On de Janvry and Sadoulet," in Allen Maunder and Alberto Valdés, eds, Agriculture and Governments in an Interdependent World. Brookfield, Vermont: Gower Publishing, 1989.

## **1990 -- 1995**

"Cooperation by Design: Leadership, Structure, and Collective Dilemmas," with William T. Bianco, American Political Science Review 84 (March 1990).

"Capital, Kinship, and Conflict: The Structuring Influence of Capital in Kinship Societies," Canadian Journal of African Studies 24 (Winter 1990).

"Macropolitical Economy in the Field of Development," in Perspectives on Positive Political Economy, edited by Kenneth Shepsle and James Alt. Cambridge: Cambridge University Press, 1990.

"Socio-economic Basis of Democratization in Africa: Some Reflections," in African Governance in the 1990s, Working Papers from the Second Annual Seminar of the African Governance Program, ed. Richard Joseph. Atlanta, Georgia: The Carter Center of Emory University, 1990.

"The New Organizational Theory," with William Bianco in The Limits of Rationality, edited by Karen Schweers Cook and Margaret Levi. Chicago: University of Chicago Press, 1990.

"Agricultural Policy and the Study of Politics in Post-Independence Africa," in Africa 30 Years On, ed. Douglas Rimmer (London: James Currey with The Royal African Society, 1991).

"Risk and Trade Regimes: Another Exploration," with Philip Brock and Jill Tiefenthaler, International Organization 45, 1 (Winter 1991).

"The Economics of the Transition to Democracy." PS 24, 1 (March 1991). Reprinted in Taller Sobre Democratization, Santiago de Chile, 29 July - 24 August 1991, compiled by Terry L. Karl, Phillippe C. Schmitter, Manuel Antonio Garreton, and Oscar Goody and in Democracy and Political Transformation, ed. by Gyorgy Szobosklai (Budapest: Hungarian Political Science Association, 1991).

"Political Economy Within Nations," with William Keech and Peter Lange in Political Science: Looking to the Future, vol IV, ed. William Crotty. Evanston, Illinois: Northwestern University Press, 1991.

"A Critique by Political Scientists," in Politics and Policy Making in Developing Countries, edited by Gerald M. Meier (San Francisco: International Center for Economic Growth, 1991).

"Community versus Market: A Note on Corporate Villages," with Amy Farmer Curry, American Political Science Review, 86, 2 (June 1992):457-463.

"Ambition and Constraint: The Stabilizing Role of Institutions," with David Soskice and David Epstein, Journal of Law, Economics and Organization vol. 8 no. 3 (1992): 547-60.

"Urban Bias: A Fresh Look," The Journal of Development Studies vol. 29, no. 4 (July 1993). Reprinted in Beyond Urban Bias, ed. Ashutosh Varshney (London: Frank Cass, 1993).

"A Reply," World Development 21, 6 (1993). This piece responds to a series of articles contained in a special section of this journal devoted to reviewing and critiquing my work.

"The Politics of Economic Policy Reform: A Review Essay," in The Journal of African Economies vol. 2 no. 3 (Winter 1993).

"Social Dilemmas and Rational Individuals: An Essay on the New Institutionalism," in The New Institutionalism and Modern Anthropology, ed. James Acheson (Boston: University Press of America, 1994).

"The Impulse to Reform in Africa," in Jennifer Widner, ed., Economic Change and Political Liberalization in Africa (Baltimore, Md.: Johns Hopkins University Press, 1994).

"The Politics Behind the Technocrats," in John Williamson, ed., The Role of Technocrats in Economic Policy Reform (Washington, D.C.: Institute for International Economics, 1994).

"Comment on McNollgast: Legislative Intent," Law and Contemporary Problems 57, 1-2 (1994).

"Algunas reflexiones sobre la economía política del petróleo," Planeación & Desarrollo 25 (Mayo de 1994):197-203.

"La Economía Política del Café Colombiano en los Años Treinta," Ensayos Sobre Economía Cafetera 7, 10 (Enero-Diciembre de 1994): 9-28

"The Politics and Economics of Policy Reform in Zambia," with Paul Collier, The Journal of African Economies 4, 1 (1995).

"A New Comparative Politics: Integrating Rational Choice and Interpretivist Perspectives," with Barry R. Weingast, Center for International Studies, Working Paper Series, Paper No. 95-3, 1995. Republished as Working Paper No. 1, Trust Project, Russell Sage Foundation.

"Political Change in Contemporary Africa." Harvard Institute for International Development, Development Discussion Paper, 1995.

“Institutions and Development,” in The New Institutional Economics and Third World Development, ed. John Harriss, Janet Hunter and Colin M. Lewis (London: Routledge, 1995).

### **1996 -- 2000**

“Intertemporal Institutions,” with Kenneth Shepsle, in The Frontiers of the New Institutional Economics, ed. John Drobak and John Nye (New York: Academic Press, 1997).

Community versus Market: A Comparative Investigation,” with Amy Farmer, Comparative Political Studies 29, 4 (August 1996): 379-399.

“Toward the Systematic Study of Transitions,” with Karen Ferree, A. J. Robinson, Smita Singh, and Anne Wren, Harvard Institute for International Development, Development Discussion Paper, 1996.

“Political Institutions and Economic Reform in Africa,” with Karen Ferree and Smita Singh, Harvard Institute for International Development, Development Discussion Paper, 1997.

“Area Studies and Political Science: Rupture and Possible Synthesis,” Africa Today 44, 2 (1997), Special Issue on "The Future of Regional Studies".

"Area Studies and the Discipline: A Useful Controversy?," PS (June 1997). Reprinted in *Changing Perspectives on International Education*, ed. Patrick O'Meara, Howard Mehlinger, and Roxana Ma Newman (Bloomington and Indianapolis: Indiana University Press, 2001). Reprinted in *Comparative Politics: Notes and Readings*, ed. Bernard Brown (New York: Harcourt, Brace, 2005).

“Comparative Politics and Rational Choice,” *American Political Science Review* (October 1997).

“Institutions as Investments,” in *The Political Dimensions of Economic Growth*. ed. Silvio Borner and Martin Paldham (MacMillan, 1998). Republished in: *External Finance for Low-Income Countries*, ed. Zubair Iqbal and Ravi Kanbur (Washington D.C.: IMF, 1997); as “Instituciones como Inversiones,” in *La Dimensión Política del Crecimiento Económico* (Heredia, Costa Rica: Editorial Fundación UNA, 1997); and in Journal of African Economies 6, 3 (1997).

“Instituciones y Desarrollo,” in *Café, Instituciones, y Desarrollo Económico*, ed. Diego Pizano-Salazar y José Chalarca (Bogotá: Federación Nacional de Cafeteros de Columbia, 1997).

"The Economic Bases of Democratization in Africa," in State, Conflict and Democracy in Africa, ed. Richard Joseph (Boulder: Lynne Rienner, 1998).

“The Politics of Interpretation, Rationality, and Culture,” with Barry Weingast and Rui de Figueiredo, Politics and Society 26, 4 (December 1998): 603-642.

“Un Enfoque de economía política ‘macro’ para el estudio del desarrollo,” en La Nueva Economía Política, ed. Sbastián Saiegh y Mariano Tommasi (Buenos Aires, Eudeba, 1998).

“Comment on ‘Structure and Strategy in Ethnic Conflict,” Annual World Bank Conference on Development Economics, 1998 ed. Boris Pleskovic and Joseph Stigler (Washington DC: The World Bank, 1999)

“Ethnicity, Capital Formation, and Conflict.” Social Capital Initiative, Working Paper No. 12, The World Bank, 1999.

"Implications for Theory," in Risk and Investment in Africa, ed. Paul Collier and Katherine Potillo (Oxford: Oxford University Press, 2000).

“Ethnicity and Development in Africa: A Reappraisal,” The American Economic Review 90 (2): May 2000, pp. 131-34.

“The Analytic Narrative Project,” with Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry R. Weingast. The American Political Science Review 94, 3 (September 2000), 696-702.

“Analytic Narratives Revisited,” with Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry R. Weingast, Social Science History 24 4 (Winter 2000): 685-96.

## **2001 -**

“Institutions and Development,” in Report of the Proceedings, The First ICO World Coffee Conference (London: International Coffee Organization, 2001)

“Institutions and Economic Performance,” in The Institutional Foundations of a Market Economy, ed. Gudrun Kochendorfer and Boris Pleskovic (Berlin: Deutsche Stiftung for Internationale Entwicklung, 2001).

“Political Competition in Weak States,” with Eliana La Ferrara, Economics and Politics, 2001, vol. 13, no. 2, pp. 159-184

“Ethnicity in Africa,” with Irene Yackolev. In Christiaan Grootaert and Thierry van Bastelaer, eds. *The Role of Social Capital in Development: An Empirical Assessment*, Cambridge University Press, 2002.

With Jack A. Goldstone, Ted Robert Gurr, Barbara Harff, Marc A. Levy, Monty G. Marshall, David L. Epstein, Colin H. Kahl, Mark R. Woodward, Pamela T. Surko, John C. Ulfelder, Jr., & Alan N. Unger. *State Failure Task Force Report: Phase III*. McLean, VA: Science Applications International Corp., 30 September 2002.

“Organizing Violence,” with Avner Greif and Smita Singh, *Journal of Conflict Resolution* (October 2002)

With Jack A. Goldstone, Ted Robert Gurr, Barbara Harff, Marc A. Levy, Monty G. Marshall, David L. Epstein, Colin H. Kahl, Mark R. Woodward, Pamela T. Surko, John C. Ulfelder, Jr., & Alan N. Unger. *State Failure Task Force Report: Phase IV*. McLean, VA: Science Applications International Corp., 30 September 2003.

With Avner Greif and Smita Singh, “Tribal Societies.” In Joseph Oppenheimer, ed., *Analytic Politics* (Stanford CA: Stanford University Press, 2004).

“On *The Politics of Property Rights*,” *Journal of Economic Literature*, XLII (June 2004): 494-500.

“Rental Havens and Productive Shelters,” in *Readings in African Politics*, ed. Tom Young (London: James Curry, 2004).

With Jack A. Goldstone, Ted Robert Gurr, Barbara Harff, Marc A. Levy, Monty G. Marshall, David L. Epstein, Colin H. Kahl, Mark R. Woodward, Pamela T. Surko, John C. Ulfelder, Jr., & Alan N. Unger. *State Failure Task Force Report: Phase IV*. McLean, VA: Science Applications International Corp., 30 September 2003.

“Preface,” in *Markets and States in Tropical Africa*, 2nd Ed. (Berkeley and Los Angeles: University of California Press, 2005).

“Preface to New Edition,” in *Beyond the Miracle of the Market*, 2nd Ed. (New York: Cambridge University Press, 2005).

“Globalization: Less than Meets the Ear?” *Development Dilemmas: The Method And Political Ethics Of Growth Policy* Ed. Melvin Ayogu and Donald Ross. New York, London: Routledge, 2005.

“Political Reform,” CID Working Paper No. 114 (January 2005).

“Political Insecurity and State Failure in Contemporary Africa,” CID Working Paper No. 115 (January 2005).

With David Epstein, Jack Goldstone, et al., *Political Instability of Task Force Report, Phase IV Findings* (McLean: SAIC, 2006)

With David L. Epstein, Jack Goldstone, Ida Kristensen, and Sharyn O'Halloran.  
"Democratic Transitions," *American Journal of Political Science* (forthcoming).

"Ethnicity," in *Elgar Companion to Development Studies*, ed. David Clark, forthcoming.

"Research Methods in Comparative Politics" in *Oxford Handbook of Comparative Politics*, eds. Carlos Boix and Susan Stokes, forthcoming.

"The State and Development," *Oxford Handbook of Political Economy*, ed. Barry Weingast and Donald Wittman, forthcoming.

With Macartan Humphries, "Political Accountability," *British Journal of Political Science* (July 2005)

"State Failure: A Model with Tests from African Data." In *Political Violence*, ed. Stathis Kalyvas, forthcoming.

"Accountability and Violence," *Journal of African Economies*, forthcoming.

"Political Predation and Economic Development," with Jean-Paul Azam and Bruno Biais, submitted to the *American Economic Review* (April 20, 2004).

"State Collapse and Violence" in Fernando Lopez Castellano, ed. *Periferias* (Grenada: University of Grenada Press, forthcoming).

"Political Reform," in Benno Ndulu et al., *The Political Economy of Economic Growth in Africa, 1960-2000: An Analytical Survey* (Cambridge: Cambridge University Press, forthcoming)

"Political Violence," in Benno Ndulu et al., *The Political Economy of Economic Growth in Africa, 1960-2000: An Analytical Survey* (Cambridge: Cambridge University Press, forthcoming)

## **CONSULTANCY REPORTS**

Recommendations for the Design of a "Guide for Data Collection and Analysis: A Handbook for Project Managers," USAID, 1976.

Projects Identification Reports for USAID in the Republic of the Sudan, 1977.

"The Reorganization of the Marketing and Processing of Crops in Uganda," co-author Robert W. Hahn. Report to the Ministry of Cooperatives and Marketing, Republic of Uganda, November 17, 1981.

"Proposals for the Reformation of the Cotton Industry and the Restructuring of the Cotton Seed and Lint Marketing System in Uganda," for the International Bank of Reconstruction and Development, May 1982.

"A Strategy for the Development of Four Districts in Western Kenya," Development Alternatives, Inc., 1982.

"The Politics of Agricultural Policy." For the International Bank of Reconstruction and Development, March 1984.

"A Research Program in Political Economy." Report to the Development Studies Division, IBRD, 1984.

"The Analysis of Institutions." Report to USAID, 1985.

"Economic Policy Reform in Zambia: The 1982 to 1987 Experience." Report prepared for Robert R. Nathan Associates and USAID, 1988.

"Agriculture, Industry and Technical Change: A Framework for Comparative Research." Paper prepared for the Regional Office for Latin America and the Caribbean of the Food and Agriculture Organization of the United Nations, 1988.

January 2005